NKU-05-19



Piner Property Floodplain Restoration

8/17/2018



Invitation to Bid Piner Property Floodplain Restoration NKU-05-19

Proposal NO: NKU-05-19 Issue Date: August 17, 2018

Title: Piner Property Floodplain

Restoration

Purchasing Officer: Blaine Gilmore Phone: 859.572.6449

RETURN ORIGINAL COPY OF PROPOSAL TO:

Northern Kentucky University Procurement Services I Nunn Drive 617 Lucas Administrative Center Highland Heights, KY 41099

IMPORTANT: BIDS MUST BE RECEIVED BY: 09/14/2018 BEFORE 2:00 P.M. HIGHLAND HEIGHTS, KY time.

NOTICE OF REQUIREMENTS

- 1. The University's General Terms and Conditions and Instructions to Bidders, viewable at http://procurement.nku.edu/policies/terms-and-conditions.html, apply to this Request for Proposal.
- 2. Contracts resulting from this RFP must be governed by and in accordance with the laws of the Commonwealth of Kentucky.
- 3. Any agreement or collusion among Offerors or prospective Offerors, which restrains, tends to restrain, or is reasonably calculated to restrain competition by agreement to bid at a fixed price or to refrain from offering, or otherwise, is prohibited.
- 4. Any person who violates any provisions of KRS 45A.325 shall be guilty of a felony and shall be punished by a fine of not less than five thousand dollars nor more than ten thousand dollars, or be imprisoned not less than one year nor more than five years, or both such fine and imprisonment. Any firm, corporation, or association who violates any of the provisions of KRS 45A.325 shall, upon conviction, may be fined not less than ten thousand dollars or more than twenty thousand dollars.

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing as provided by KRS 523.040:

- 1. That I am the offeror (if the offeror is an individual), a partner, (if the offeror is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the offeror is a corporation):
- 2. That the attached proposal has been arrived at by the offeror independently and has been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other Contractor of materials, supplies, equipment or services described in the Request for Proposal, designed to limit independent bidding or competition;
- 3. That the contents of the proposal have not been communicated by the offeror or its employees or agents to any person not an employee or agent of the offeror or its surety on any bond furnished with the proposal and will not be communicated to any such person prior to the official closing of the RFP:
- 4. That the offeror is legally entitled to enter into contracts with the Northern Kentucky University and is not in violation of any prohibited conflict of interest, including those prohibited by the provisions of KRS 45A.330 to .340, 164.390, and
- 5. That the Offeror, and its affiliates, are duly registered with the Kentucky Department of Revenue to collect and remit the sale and use tax imposed by Chapter 139 to the extent required by Kentucky law and will remain registered for the duration of any contract award
- 6. That I have fully informed myself regarding the accuracy of the statement made above.

SWORN STATEMENT OF COMPLIANCE WITH FINANACE LAWS

In accordance with KRS45A.110 (2), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to a bidder will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

CONTRACTOR REPORT OF PRIOR VIOLATIONS OF KRS CHAPTERS 136, 139, 141, 337, 338, 341 & 342

The Contractor by signing and submitting a proposal agrees as required by 45A.485 to submit final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341 and 342 that have occurred in the previous five (5) years prior to the award of a contract and agrees to remain in continuous compliance with the provisions of the statutes during the duration of any contract that may be established. Final determinations of violations of these statutes must be provided to the University by the successful Contractor prior to the award of a contract.

CERTIFICATION OF NON-SEGREGATED FACILITIES

The Contractor, by submitting a proposal, certifies that he/she is in compliance with the Code of Federal Regulations, No. 41 CFR 60-1.8(b) that prohibits the maintaining of segregated facilities.

RECIPROCAL PREFERENCE

- (1) Prior to a contract being awarded to the lowest responsible and responsive bidder on a contract by a public agency, a resident bidder of the Commonwealth shall be given a preference against a nonresident bidder registered in any state that gives or requires a preference to bidders from that state. The preference shall be equal to the preference given or required by the state of the nonresident bidder.
- (2) A resident bidder is an individual, partnership, association, corporation, or other business entity that, on the date the contract is first advertised or announced as available for bidding:
 - (a) Is authorized to transact business in the Commonwealth; and
- (b) Has for one (1) year prior to and through the date of the advertisement, filed Kentucky corporate income taxes, made payments to the Kentucky unemployment insurance fund established in KRS 341.490, and maintained a Kentucky workers' compensation policy in effect. (3) A nonresident bidder is an individual, partnership, association, corporation, or other business entity that does not meet the requirements of subsection (2) of this section.
- (4) If a procurement determination results in a tie between a resident bidder and a nonresident bidder, preference shall be given to the resident bidder.
- (5) This section shall apply to all contracts funded or controlled in whole or in part by a public agency.
- (6) The Finance and Administration Cabinet shall maintain a list of states that give to or require a preference for their own resident bidders, including details of the preference given to such bidders, to be used by public agencies in determining resident bidder preferences. The cabinet shall also promulgate administrative regulations in accordance with KRS Chapter 13A establishing the procedure by which the preferences required by this section shall be given.
- (7) The preference for resident bidders shall not be given if the preference conflicts with federal law.
- (8) Any public agency soliciting or advertising for bids for contracts shall make KRS 45A.490 to 45A.494 part of the solicitation or advertisement for bids



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DEFINITIONS

As used in KRS 45A.490 to 45A.494: (1) "Contract" means any agreement of a public agency, including grants and orders, for the purchase or disposal of supplies, services, construction, or any other item; and

(2) "Public agency" has the same meaning as in KRS 61.805.

SIGNATURE REQUIRED: This proposal cannot be considered valid unless signed and dated by an authorized agent of the offeror. Type or print the signatory's name, title, address, phone number and fax number in the spaces provided. Offers signed by an agent are to be accompanied by evidence of his/her authority unless such evidence has been previously furnished to the issuing office. Your signature is acceptance to the Terms and conditions above.

DELIVERY TIME:	NAME OF COMPANY:	DUNS#
PROPOSAL FIRM THROUGH:	ADDRESS:	Phone/Fax:
PAYMENT TERMS:	CITY, STATE & ZIP CODE:	E-MAIL:
SHIPPING TERMS: F.O.B. DESTINATION - PREPAID AND ALLOWED	TYPED OR PRINTED NAME:	WEB ADDRESS:
FEDERAL EMPLOYER ID NO.:	SIGNATURE:	DATE:



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General Terms and Conditions and Instructions to Proposers:

http://procurement.nku.edu/policies/terms-and-conditions.html



NOTICE OF ADVERTISEMENT

BRIEF SCOPE OF WORK:

Base bid (highest priority work) includes floodplain and hillside excavation and contouring, construction of a sediment detention basin from on-site boulders, and construction of a gabion basket wall and guardrails. Alternate work includes widening the existing stream channel, and constructing an access road and wetland south of the channel. Seeding and erosion control will be completed by NKU. Construction staking will be covered by the consulting engineer. NKU may choose alternates for an anticipated total contract value of \$150,000 +/-.

Please see Appendix 1 for Specifications / Drawings, a conformed construction set will be provided to the successful bidder.

CAD file for verifying earthwork quantities can be found at:

https://www.dropbox.com/s/ef2a4bvzgda3dyq/NKU-Piner-Bid%20Only%20File%20081318.dwg?dl=0

PROJECT TIMETABLE:

Invitation for Bid Issued

Pre-Bid Meeting

Last Day for Questions

BIDS DUE

8/17/2018

8/30/2018 @ 9:30 AM

9/6/2018 @ 12 Noon

9/14/ 2018 at 2:00 PM EST

Pre Bid Conference:

There will be a pre-bid meeting held on August 30, 2018 at 9:00 am to visit the site and discuss the scope of work. Please email Ryan Straus, Bid Specialist, strausr2@nku.edu with any questions.

SUBMITTAL OF BID:

The bidder shall submit, by the time and date specified via US Postal Service, courier or other delivery service, its bid response in a **sealed package** addressed to:

Blaine Gilmore, MPA
Interim Director, Procurement
Lucas Administrative Center, Suite 617
1 Nunn Drive
Northern Kentucky University
Highland Heights, KY 41099

Both inner and outer envelopes/packages should bear respondent's name and address, and clearly marked on package(s) as follows:

ITB NKU-05-19 Piner Property Floodplain Restoration



Special Conditions to Proposers

QUESTIONS AND REQUESTS FOR INFORMATION

Information relative to this project obtained from other sources, including other university administration, faculty or staff may not be accurate, will not be considered binding and could adversely affect the potential for selection of your bid. All requests for information, questions or comments relative to this project should be directed, in writing to:

Ryan Straus
Bid Specialist, Procurement Services
Lucas Administrative Center, Suite 617
Northern Kentucky University
Highland Heights, KY 41099
Strausr2@nku.edu

GENERAL TERMS AND CONDITIONS TO PROPOSERS:

The general terms and conditions linked below shall be applicable to this Bid and take precedence over any Contractor terms and conditions:

http://procurement.nku.edu/policies/terms-and-conditions.html

http://parking.nku.edu/rules/guidelines.html

GOVERNING LAW:

Proposers shall conform to and observe all laws, ordinances, rules and regulations of the United States of America, Commonwealth of Kentucky, and all other local governments, public authorities, boards or offices relating to the Project Site or the improvements upon same, or the use thereof, and will not permit the same to be used for any illegal or immoral purposes, business or occupation. The resulting Contract shall be governed by Kentucky Law and any claim relating to this Contract shall only be brought in the Franklin Circuit Court in Accordance with KRS 45A-245.

TOBACCO FREE CAMPUS

Effective January 1st, 2014, NKU will be a tobacco free campus. The use of all tobacco products shall be prohibited in all campus buildings and outside areas on campus.

STATUTORY AUTHORITY

Selection of firms to provide professional services to Northern Kentucky University are governed by the provisions of the Kentucky Revised Statutes, KRS 45A.085, http://www.lrc.ky.gov/KRS/045A00/085.PDF

FOREIGN CORPORATIONS

Foreign corporations are defined as corporations that are organized under laws other than the laws of the commonwealth of Kentucky. Foreign corporations doing business within the commonwealth of Kentucky are required to be registered with the Secretary of State, New Capitol Building, Frankfort, Kentucky and must be in good standing.

The Foreign Corporate Proposer, if not registered with the Secretary of State at the time of the bid submittal, shall be required to become registered and be declared in good standing prior to the issuance or receipt of a contract.

DOMESTIC CORPORATIONS

Domestic corporations are required to be in good standing

PERMITS

The Contractor shall obtain all permits necessary for any or all parts of the work from the authorities governing such work. The Contractor shall procure building permits, when required but no fee shall be applicable on projects for



the Commonwealth. Evidence that such permits have been issued shall be furnished to the Owner before beginning work.

BID BONDS:

A 5% bid bond is required with submission of this ITB.

COMPLETION DATES

It is understood and agreed that time is of the essence. The Contractor will efficiently, diligently, and expeditiously conduct the work in a manner that will satisfy compliance with approved project schedules and completion by the completion date appearing in the body of this bid.

COORDINATION OF WORK

The Vendor shall be responsible for coordinating all work with the **NKU Project Manager**. The Contractor shall cooperate completely with the Owner's security forces and measures.

DAMAGE AND REPAIRS

The Contractor shall exercise particular care to avoid damage to his own work, the Owner's property, and adjacent property of every description. He shall make good any damage resulting from or caused by the work under this contract at his sole expense in a manner satisfactory and without extra cost to the Owner including, but not limited to, finishes, furnishings, and landscaping.

HAZARDOUS MATERIALS

No asbestos containing materials, lead based paints, or other hazardous materials shall be furnished or installed in this work

<u>PAYMENT AND PERFORMANCE BONDS:</u> 100% Payment and Performance Bonds will be required for work arising from this ITB.

EXAMINATION OF SITE

Each vendor shall fully acquaint and familiarize themselves with the conditions as they exist and the character of the operation to be carried on under the proposed contract and has made such investigation as may be reasonably necessary so that the vendor shall fully understand the facilities, physical conditions and restrictions attending to the work under the contract. The specifications furnished represent a fair approximation of the material needed but all quotations submitted should take into account knowledge gained as a result of the above referenced visual inspection.

EXAMINATION OF CONTRACT

Each vendor shall also thoroughly examine and become familiar with the specifications and associated contract documents. By submitting a bid, the vendor agrees that they have carefully examined the specifications and have thereupon decided that from their own investigation Contractor has satisfied themselves as to the nature and location of work, the general and local conditions and all matters which may in any way affect the work or its performance and that as a result of such examination and investigation, vendor fully understands the intent and purpose of the documents and conditions of the bidding. Claims for additional compensation and/or extension of time because of the vendor's failure to follow the foregoing procedure and to familiarize themselves with the Contract Documents and all conditions which might affect work will not be allowed.

FIELD VERIFICATION

It is the Vendor's responsibility to verify all measurements.

HOURS OF WORK

Working days at Northern Kentucky University are Monday through Friday, 8:00am to 4:30pm. Deviation from these working hours must be approved by said project manager.



CANCELLATION

The resulting contract from this ITB may be cancelled by the University for non-compliance with the terms and conditions of any part of the agreement.

TERMINATION FOR CONVENIENCE

Northern Kentucky University reserves the right to terminate the resulting contract without cause with a 30-day written notice. Upon receipt by the Contractor of "notice of termination" the Contractor shall discontinue all services with respect to the applicable contract. The cost of any agreed upon services provided by the Contractor will be calculated at the agreed upon rate prior to "notice of termination" and a fixed fee contract will be pro-rated (as appropriate).

INSURANCE

If awarded, bidder / proposer must provide NKU with an insurance certificate listing NKU as a certificate holder and additionally insured.

Northern Kentucky University 617 Lucas Administrative Center 1 Nunn Drive Highland Heights, KY 41099

The Contractor shall furnish the University the Certificates of Insurance and guarantee the maintenance of such coverage during the term of the contract. The Contractor shall provide an original policy endorsement of its CGL insurance naming Northern Kentucky University and the directors, officers, trustees, and employees of the University as additional insured on a primary and non-contributory basis as their interest appears. Additionally, the Contractor shall provide an original policy endorsement for Waiver of subrogation in favor of the Northern Kentucky University its directors, officers, trustees, and employees as additional insured.

Our basic insurance requirements are:

Workers' Compensation insurance with Kentucky's statutory limits and Employers' Liability insurance with at least \$100,000 limits of liability.

Comprehensive General Liability (CGL) Insurance the limits of liability shall not be less than \$500,000 each occurrence for bodily injury and \$250,000 property damage.

Comprehensive Automobile Liability Insurance: To cover all owned, hired, leased or non-owned vehicles used on the Project. Coverage shall be for all vehicles including off the road tractors, cranes and rigging equipment and include pollution liability from vehicle upset or overturn. Policy limits shall not be less than \$500,000 for bodily injury and \$100,000 for property damage.

Excess liability insurance in an umbrella form for excess coverages shall have a minimum of \$1,000,000 combined single limits for bodily injury and property damage for each.



REFERENCES

Bidder Qualifications: The bidder is required to submit a list of completed projects where he has performed <u>similar work</u> to that specified herein.

Organization:	
Contact Name:	
Phone Number:	
Date Work Completed:	Value of Contract:
Project Manager assigned to this project: _	
Brief Project Description:	
Organization:	
Contact Name:	
Phone Number:	
Date Work Completed:	Value of Contract:
Project Manager assigned to this project: _	
Brief Project Description:	
Organization:	
Contact Name:	
Phone Number:	
Date Work Completed:	Value of Contract:
Project Manager assigned to this project: _	
Brief Project Description:	

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SUBCONTRACTORS

SUBCONTRACTORS: The following is a list of subcontractors proposed by the bidder to be used to complete the project. All subcontractors are subject to approval by Northern Kentucky University. Failure to submit this list completely filled out may invalidate bid. **SUBCONTRACTORS MAY NOT BE CHANGED AFTER CONTRACT AWARD WITHOUT APPROVAL BY NKU.**

BRANCH OF WORK		NAME, ADDRESS	AND TELEPHONE OF SUBCONTRACTORS
	_		
	_		

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<u>List of Materials and Equipment</u> (Must be submitted within 24 hours after bid opening)

Every item listed under the different phases of this project must be clearly identified so that Northern Kentucky University will definitely know what the bidder proposes to furnish. Bidders be hereby advised that this list shall be required to be filled out completely by the apparent low bidder within twenty-four (24) hours from the close of the official reading of the bids.

The above requirement does not preclude any bidder from submitting this list, fully executed, at the time the bids are submitted.

The use of the manufacturers' dealer's name only, or stating "as per plans and specifications", will not be considered as sufficient identification. Where more than one "Make or Brand" is listed for any one item, the Owner has the right to select the one to be used.

Failure to submit a proper list may result in rejection of the Bidder's Proposal.

Material And / Or Equipment	Manufacturer and Brand Name



NKU GENERAL SAFETY & COORDINATION REQUIREMENTS

- The University strives to continuously maintain both a safe and secure work environment for its students, employees, and the employees of all Contractors assigned to our campus. Therefore, it is essential the following criteria be met by all Contractors (and all their subcontractors) working at NKU.
- 2. <u>BACKGROUND CHECKS:</u> The Contractor shall furnish the University upon request with written documentation that verifies each of their employees working on the property of the University has cleared a background check, has no felony convictions, is not a sex offender, and has the legal right to work in the United States.
- 3. DRUG-FREE WORKPLACE: Northern Kentucky University is a drug-free and alcohol-free workplace, and all employees of Contractors and subcontractors are subject to this policy while working on University property. If there is verifiable suspicion or probable cause that an employee of the contractor or subcontractor is under the influence of drugs or alcohol, the University reserves the right to require the Contractor to have the employee tested immediately at no expense to the University. If the test results are positive the employee will be prohibited from working on University property for a period of one (1) year from the positive test, or the duration of the project, whichever is longer. The banned employee of the Contractor must pass a drug and alcohol test before working again on university property. Effective January 1st, 2014, NKU will be a tobacco free campus. The use of all tobacco products shall be prohibited in all campus buildings and outside areas on campus.
- 4. CONTRACTOR PRESENCE ON CAMPUS: All persons working for (or on behalf of) the Contractor whose duties bring them on campus shall obey the rules and regulations that are established by the University and shall comply with the reasonable directions of the University representatives. Contractor's employees shall never enter or use existing areas of campus where they are not required to be performing work. Contractors and subcontractors are always responsible for providing and maintaining portable restroom facilities for all their workers working on the project. Contractor shall be responsible for the acts of his employees and agents while on campus. Accordingly, Contractor agrees to take all necessary measures to prevent injury and loss to persons or property located on campus. Contractor shall be responsible for all damages to persons or property caused by Contractor or any of his agents or employees. Contractor shall promptly repair any damage that he, or his employees or agent may cause to the campus or to the University equipment. Contractor agrees that in event of an accident of any kind on university property, Contractor will immediately notify the University's Department of Public Safety (859) 572-5770 and furnish a full written report of the accident. All Contractor employees and subcontractors shall present a neat and clean appearance while on University property, and be able to present proper identification upon request.
- 5. PROJECT WORK SITE SAFETY & SECURITY: The University does not, and will not, assume any responsibility for any tools, materials, equipment, or property belonging to the Contractor, his employees or agents, which may be lost or stolen from University property. All contractors and subcontractors are solely responsible for properly securing and protecting their tools and equipment. When working within or on top of an existing building, the Contractor shall work with the assigned University project manager in developing a strategy for securing the project work site and protecting the campus staff and community from the project work site. When working in an open area on campus, the Contractor shall provide securable barricades/fencing around the project site to protect the campus community from the dangers within the project work site. The Contractor shall maintain this project work site 24 hour a day, 7 days a week for the duration of the project.
- 6. PARKING: All Contractors and their subcontractors are required purchase a monthly parking pass from NKU at the rate of \$28.75/month, or at a daily rate of \$5.00/day. Weekly passes are also available. This will entitle workers to park at all NKU campus lots and garages, EXCEPT for faculty and staff lots which are noted accordingly. This pass also allows for parking in any of the garages if your vehicles will fit. Parking within the jobsite WILL NOT BE PERMITTED. Workers who do so will be subject to immediate towing, without warning, and at their cost. Vehicles may be parked near a worksite for reasonable times for loading and unloading, providing normal access and egress to buildings is not hindered. All workers shall park their personal vehicles in the Welcome Center parking garage, which is located just north of the Power Plant across from the Bank of Kentucky Center.
- 7. GENERAL PROJECT COORDINATION: All work and information requests by the Contractor shall be coordinated through the assigned NKU Project Manager. Any direction provided by the campus Operations & Maintenance Staff and/or the project user group shall NOT be considered official direction from the University unless authorized in writing from the assigned NKU Project Manager. Contractor will NOT be compensated for work performed without written authorization from the assigned NKU Project Manager.



- 8. TEMPORARY USE OF CAMPUS UTILITIES: As a general rule, utilities required by the Contractor to perform their work can be obtained from the University. However, the University reserves the right to require the Contractor to furnish a meter to record the usage of each provided utility for the duration of the project. For projects requiring utility metering, a deduct change order will be issued at the end of the Project to reimburse the University for the Contractor utility usage. The Contractor is responsible for determining and coordinating the procurement of any utility where the University cannot reasonably provide.
- 9. CAMPUS UTILITY SHUTDOWNS: Unless noted otherwise for a specific project, at least seven (7) calendar days notice is required for any campus utility shutdowns and/or any road/parking lot closures necessary for the Contractor to perform their work. All utility shutdowns and closures shall be coordinated with the assigned NKU Project Manager, and the University reserves the right to schedule these shutdowns and closures at night and/or on weekends to minimize disruptions to the campus community. All requests for assistance from NKU's Operations & Maintenance staff in locating existing utilities shall also be submitted to the assigned NKU project manager at least (7) calendar days in advance.



Bid Bond

5% of Contract Price

KNOW ALL MEN BY THESE I	PRESENTS, that we	(here insert full name and address or legal title of Contractor
as Principal, hereinafter called the	Principal, and	(here insert full name and address or legal title of Surety
a corporation duly organized under	r the laws of the State	of Kentucky as Surety, hereinafter called
Surety, are held and firmly bound	unto Northern Kent	tucky University as Obligee, hereinafter
called Obligee, in the sum of:		
		Dollars (\$),
specified, within 45 days after its opening with the terms of such bid, and give such good and sufficient surety for the faithfu material furnished in the prosecution ther give such bonds or bonds, if the Principal between the amount specified in said bid	omitted a bid for accept the bid of the Prince and the Principal shall entitle bid or bonds as may be special performance of such Coreof, or in the event of the stall shall pay to the Obligee and such larger amount f	(Here insert full name, address and description of project) cipal within the period specified, or if no period is ter into a Contract with the Obligee in accordance ecified in the bidding or Contract Documents with ontract and for the prompt payment of labor and failure of the Principal to enter such Contract and e the difference not to exceed the penalty hereof for which the Obligee may in good faith contract
remain in full force and effect.	overed by said bid, then the	his obligation shall be null and void, otherwise to
Signed and sealed this day of	2018	
(Principal)	(Seal)	
		(Witness)
(Title)		
(Surety)	(Seal)	
		(Witness)
(Title)		

THIS DOCUMENT MUST BE NOTORIZED

This is only an example. Other forms may be used.



FORM OF PROPOSAL

The Bidder agrees to furnish all labor, materials, supplies, supervision and services required to perform this contract in a workmanlike manner. These services to be provided in accordance with Specifications and Contract Documents, and any duly issued Addenda for the **BID** set forth below:

*Construction staking <u>SHOULD NOT</u> be included in your bid.

				UNIT	
	ITEM	QUANTITY	UNIT	COST	COST
BASE	BID - FLOODPLAIN EXCAVATION	0	300.	560.	
B-1	Strip and Stockpile Topsoil (Approximately 3,200CY - Bidders to Determine Quantities For Bid) For Floodplain Grading, Redistribute on Floodplain and Spoils Mound. (If Alternate 1 is not selected, some topsoil stripped from the floodplain may be pushed into a berm along the north side of the creek and left in place)		LS		
B-2	Floodplain Mass Excavation (Unclassified, Approximately 12,400CY - Bidders to Determine Quantities For Bid), Including Benching Hillside Fill, Disposal of Spoils In Mound (<i>Does not include</i> the quantity of soil generated in Alterrnate 3)		LS		
B-3	Any Necessary Bridging / Cribbing For Protection of Petroleum Pipelines at Equipment Crossings	1	LS		
B-4	Construct Gravel Trap Including Boulder Berm (Approximatly 120LF) And Excavation	1	LS		
B-5	Supply Gabion Baskets and Rock Fill for Gabion Wall Installation	45	EA		
B-6	Construction Gabion Basket Walls, Including all Labor, Bedding and Backfill Materials, Filter Fabric, As Necessary	1	LS		
B-7	Supply and Install Guardrail to KYTC Standards	70	LF		
B-8	Supply and Install Guardrail Terminal Section No. 1	2	EA		
B-9	Construct 24" Thick Choked Class III Cyclopean Channel Liner	80	SY		
				SUBTOTAL	

				LIBIT				
	ITEM QUANTITY UNIT			UNIT COST	COST			
ΔITER	ALTERNATE 1 - WIDEN EXISTING CHANNEL							
A1- 1	Clearing and Grubbing of Trees and Woody Vegetation Within Construction Zone, Including On-site Stockpiling and Relocation/Distribution ofTrees, Stumps, Logs, Debris in Creek, as Necessary to Construct The Channel Widening	1	LS					
A1- 2	'		EA					
			SUBTOTAL					
ALTER	RNATE 2 - CONSTRUCT DITCH AND WETLANDS SOUT	H OF EXISTIN	G CHAN	INEL				
A2- 1	Construct, Maintain, and Final Restoration of Rock Construction Entrance At Pipeline Easement	1	LS					
A2- 2	Removal and Disposal of Utility Pole, Guy Anchor and Wire	1	LS					
A2- 3	Grade To Construct Approximately 290LF Of Roadside Drainage Channel, Including Stripping & Respreading of Topsoil in Channel and Disposal Areas, Benching Fills	1	LS					
A2- 4	Construct 18" Thick Choked Class II Channel Liner	75	SY					
				SUBTOTAL				

Total Bid (Base Bid Subtotal + Alternate Subtotals)

	Dollars		Cents
(USE WORDS)		(USE WORDS)	
\$			
(USE NUMBERS)			

CONTINUED

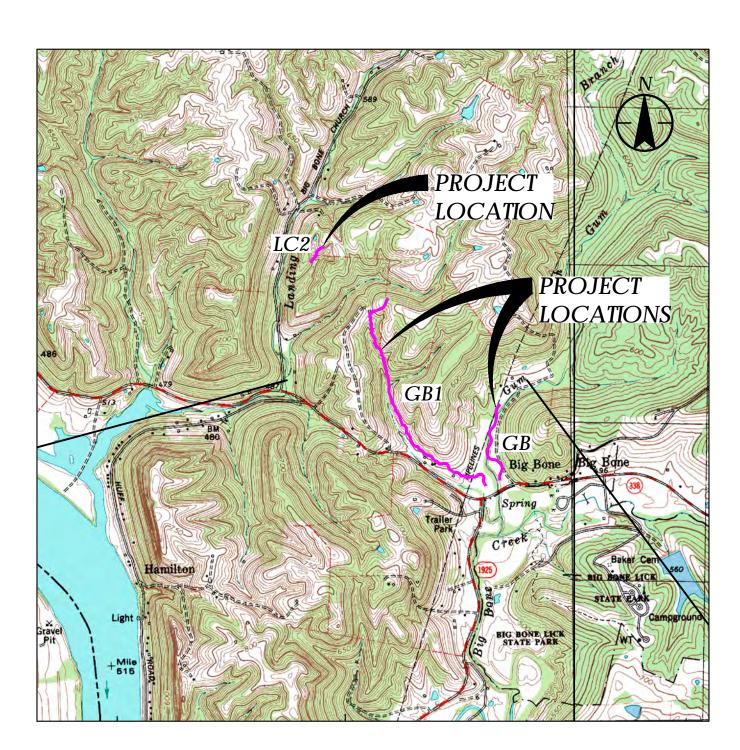
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ALLO	WANCE ITEMS					
1	Trench and Install Hill Needed, Including 12 Wraped in Filter Fabri Bottom, And Filled wi	" Wide X 36" Dic, 4" Perforate	eep Trench, ed PVC Pile in	L	F	
2	Trench Drain Outlet /	Daylight Pipe	If / As Needed	L	.F	
3	Supply and Place Add Replacement, If / As F		r Driveway	Т	N	
4	Separate And Stockpi Excavation, As Noted Requested	-		C	YY	
	THIS BID SUBMITTED BY	-		ddress of Bidder		
			(Name and A	ddress of Bidder)	
	DATE:	_ AUTHORIZED	SIGNATURE:			
	NOTE: The Authentica executed for this Bid to b	-	Statement of No	n-Collusion and i	Non-Conflict of In	terest must be properly
	This Bidder, in compliance as well as the specification labor, supervision, mate within the time set forth	ons for the work crials, supplies o	as prepared by Nand services requi	orthern Kentucky ired to perform	University, herek	by proposes to furnish all
	The Bidder, hereby ackn	owledges receip	ot of the following	; Addenda:		
	ADDENDUM NO	_ DATED	_ ADDENDUM N	O DA	TE	
	ADDENDUM NO	DATED	ADDENDUM N	O DA	TE	

PINER SITE

TRIB GB1 STREAM RESTORATION CONSTRUCTION DRAWINGS

BEAVER ROAD BOONE COUNTY, KENTUCKY AUGUST 3, 2018



VICINITY MAP



Location of utilities and structures, both surface and sub-surface, are shown on the plans from data available at time of bidding, and are not necessarily complete or correct. During construction the contractor shall use diligence in protecting from damaging all existing utilities and structures whether shown on the plan or not. If damage is caused, the contractor shall be responsible for the repair or restoration of same to the satisfaction of the engineer or appropriate

PRIOR TO ANY EXCAVATION FOR UNDERGROUND UTILITIES, CONTRACTOR MUST EXPOSE AND VERIFY LOCATIONS BOTH HORIZONTAL AND VERTICALLY OF ALL EXISTING UTILITIES. ANY CONFLICTS SHALL BE REPORTED IMMEDIATELY, TO THE ENGINEER AND THE APPROPRIATE AUTHORITIES.

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GENERAL PROJECT NOTES:

- 1. PROJECT OVERVIEW: THIS PROJECT INVOLVES THE RESTORATION OF AN EXISTING STREAM CHANNEL THAT HAD PREVIOUSLY BEEN ALTERED BY FARMING ACTIVITIES. THE PROJECT WILL BE CONSTRUCTED IN TWO PHASES. THE FIRST PHASE PRIMARILY INCLUDES MASS EXCAVATION TO ESTABLISH THE FLOODPLAIN, AND GET FLOODPLAIN VEGETATION ESTABLISHED. THE FIRST PHASE WORK IS REPRESENTED ON THESE PLANS. THE SECOND PHASE WILL BE PERFORMED BY NKU TO INCLUDE THE ACTUAL NEW STREAM CHANNEL CONSTRUCTION AND RESTORATION OF RIPARIAN BUFFERS..
- 2. PROJECT LOCATION: THIS PROJECT IS GENERALLY LOCATED ON THE NORTH SIDE OF BEAVER ROAD (KY-338), BETWEEN GUM BRANCH ROAD AND BIG BONE CHURCH ROAD. THE SITE BEGINS AT AN EXISTING BOX CULVERT UNDER BEAVER ROAD, APPROXIMATELY 1/10 MILE WEST OF GUM BRANCH ROAD, AND EXTEND APPROXIMATELY 1700' UPSTREAM ON A BRANCH OF GUM BRANCH CREEK, IDENTIFIED AS GB1 FOR THIS PROJECT.
- 3. SITE INFORMATION: A. WATERSHED AREA = 214 ACRES
 - B. NEW FLOODPLAIN SLOPE = 1.1%-1.7%
- C. NEW FLOODPLAIN WIDTH = 85'-200', AVERAGE 120' 4. PROJECT SCHEDULE: SEE PROJECT BID SPECIFICATIONS CONTRACTUAL DEADLINES.
 - A. CONSTRUCTION SEQUENCE:
 - PRE-CONSTRUCTION MEETING REQUIRED ON SITE, UNLESS WAIVED BY OWNER. CONTRACTOR TO NOTIFY THE FOLLOWING ENTITIES PRIOR TO COMMENCEMENT OF CONSTRUCTION: NKU, DUKE ENERGY, SUNOCO, KYTC, OWEN ELECTRIC, 811, AND VIOX & VIOX.
 - INSTALL / MODIFY CONSTRUCTION ENTRANCES (ALTERNATE 2). INSTALL PERIMETER CONTROLS INCLUDING SILT FENCE OR MULCH BERMS ALONG PORTIONS OF EXISTING STREAM TO REMAIN, AND AROUND LOW
 - SIDES OF WASTE PILE AREA (BY NKU). CLEAR TREES WITHIN GRADING LIMITS, WHICH CANNOT BE AVOIDED. STOCKPILE TREES. COORDINATE GRADING LIMITS WITH NKU TO MINIMIZE
 - TREE REMOVAL. (ALTERNATE 1) CONSTRUCT EXISTING CHANNEL WIDENING / DEEPENING, WHILE MAINTAINING FLOW IN EXISTING CHANNEL. UTILIZE CUT MATERIAL FOR EMBANKMENT TO CONSTRUCT THE DRIVEWAY BENCH PARALLEL TO THE PIPELINE EASEMENTS, FROM BEAVER ROAD TO
 - THE EXISTING STREAM (IF MATERIAL IS SUITABLE), TO CREATE WETLAND BERM AS SHOWN ON THE PLANS, AS WELL AS TO CREATE A BERM ALONG THE NORTH SIDE OF THE EXISTING CHANNEL AS SHOWN ON PLANS AND DETAILS. HAUL EXCESS MATERIAL TO SPOILS OR TOPSOIL PILES, AS APPROPRIATE. INFORM AND ALLOW NKU TO SEED AND INSTALL EROSION CONTROL BLANKET ON BANKS OF CHANNEL IMMEDIATELY AFTER FINISH GRADING. CONTRACTOR TO WATER—IN SEED IF NO RAIN FORECAST FOR 72 HOURS.
 - (ALTERNATE 2) CONSTRUCT ROADSIDE DRAINAGE CHANNEL. FROM THE PIPE CULVERT JUST EAST OF THE PIPELINE EASEMENTS. TO THE BOX CULVERT, INCLUDING BENCHING AND REGRADING THE ROADSIDE SHOULDER AND SLOPE DOWN TO THE CHANNEL. UTILIZE CUT MATERIAL FOR EMBANKMENT TO CONSTRUCT THE BERM FOR THE WETLAND BETWEEN THE ROADSIDE CHANNEL AND THE EXISTING STREAM. RESPREAD TOPSOIL ON CHANNEL AND WETLAND BERM, AND NOTIFY NKU TO BEGIN RESTORATION. INSTALL ROCK SILT CHECK AND SILT FENCE. OWNER WILL PERFORM RESTORATION WITH A SEED MIX OF THEIR CHOOSING.
 - CONSTRUCT GABION WALLS, CHANNEL LINER, AND GUARDRAIL AT MAIN CULVERT.
 - CONSTRUCT GRAVEL TRAP UPSTREAM OF OLD HOUSE DRIVEWAY EMBANKMENTS.
 - BEGIN CLEARING, TOPSOIL STRIPPING, AND FLOODPLAIN EXCAVATION AT UPSTREAM END OF PROJECT, AND WORK DOWNSTREAM. STOCKPILE TOPSOIL IN SMALLER PILES NEAR TO WHERE IT WAS STRIPPED AND WILL BE RESPREAD. STOCKPILE EXCESS CUT SOILS AT DESIGNATED STOCKPILE AREA EAST OF THE BARN.

PERFORM ANY FINAL SITE CLEANUP. CLEAN UP AND TOP DRESS TWO GRAVEL DRIVEWAYS AS NECESSARY (UTILITY EASEMENT DRIVEWAY IS IN

- AS FLOODPLAIN GRADING IS COMPLETED FOR A DEFINED AREA, WORKING FROM UPSTREAM TO DOWNSTREAM, RESPREAD TOPSOIL AND NOTIFY NKU OF WHAT AREA IS READY FOR RESTORATION. NKU TO INSTALL ROCK SILT CHECKS AND SILT FENCE. NKU WILL PERFORM RESTORATION
- COMPLETE FINAL TOPSOIL RESPREADING ON FLOODPLAIN, AS WELL AS ON EXCESS SOIL STOCKPILE. NOTIFY NKU TO COMPLETE RESTORATION.
- ALTERNATE 2), AND DEMOBILIZE FROM SITE. AFTER SITE HAS ACHIEVED 80% STABILIZATION, NKU TO REMOVE REMAINING SILT FENCE AND ANY OTHER TEMPORARY SEDIMENT CONTROL
- 4. BIDDING INSTRUCTIONS
 - A. PRE-BID CONFERENCE: SEE PROJECT SPECIFICATIONS FOR INFORMATION PERTAINING TO A PRE-BID CONFERENCE. B. SCOPE OF WORK / QUESTIONS: SEE PROJECT SPECIFICATIONS FOR INFORMATION PERTAINING TO SCOPE OF WORK AND SUBMITTAL OF QUESTIONS ON THE
- 5. PROJECT CONTACTS:

MEASURES.

- A. PROPERTY OWNER: COMMONWEALTH OF KENTUCKY D.B. 1042, PG. 136
- B. PROJECT ADMINISTRATOR: NORTHERN KENTUCKY UNIVERSITY, CENTER FOR ENVIRONMENTAL RESTORATION (REFERENCED AS "OWNER" ON THESE PLANS) 15 CLEARVIEW DRIVE, HIGHLAND HEIGHTS, KY 41076
- ATTN.: SCOTT FENNELL, P.E., DIRECTOR & PRINCIPAL RESTORATION ENGINEER, (859) 448-8949, FENNELLS@NKU.EDU
- C. ENGINEER: VIOX & VIOX. INC. 466 ERLANGER ROAD, ERLANGER, KY 41018
- ATTN.: JUSTIN M. VERST, P.E., SR. DESIGN ENGINEER, (859) 727-3293, JVERST@VIOXINC.COM
- D. GEOTECHNICAL ENGINEER: NONE E. PLANNING REVIEW: NONE
- F. ROADWAY / RIGHT-OF-WAY: KENTUCKY TRANSPORTATION CABINET, DEPT. OF HIGHWAYS DISTRICT 6 (KY-338)
- 421 BUTTERMILK PIKE, COVINGTON, KY 41017 ATTN.: LINZY BREFELD, DISTRICT 6 PERMIT SUPERVISOR (859) 341-2700,
- G. SANITARY SEWERS: SANITATION DISTRICT NO. 1 (NONE PRESENT IN PROJECT AREA)
- H. STORM SEWERS: KENTUCKY TRANSPORTATION CABINET, DEPT. OF HIGHWAYS DISTRICT 6 (KY-338 CULVERTS) 421 BUTTERMILK PIKE, COVINGTON, KY 41017
- I. STORM WATER CONTROL: BOONE COUNTY PLANNING COMMISSION
- 2950 WASHINGTON STREET, RM 317, BURLINGTON, KY 41005
- ATTN.: (859) 334-2196578-7468, SBLAKE@SD1.ORG J. WATER: BOONE COUNTY WATER DISTRICT
- 2475 BURLINGTON PIKE, BURLINGTON, KY 41005
- ATTN.: KEITH FELDHAUS (859) 586-6155, KFELDHAUS@FUSE.NET
- K. NATURAL GAS SERVICE: DUKE ENERGY (NONE PRESENT) L. NATURAL GAS TRANSMISSION PIPELINE: DUKE ENERGY
- 139 EAST 4TH STREET, CINCINNATI, OH 45202
- ATTN.: TODD SPRAGUE, (513) 444-0048 OR JOHN PERKINS, (513) 287-1276, JOHN.PERKINS@DUKE-ENERGY.COM
- M. ELECTRIC: OWEN ELECTRIC
- 8205 HWY. 127 NORTH, OWENTON, KY 40359
- ATTN.: (502) 484-3471, INFO@OWENELETRIC.COM N. CABLE TELEVISION: SPECTRUM
- O. TELEPHONE: CINCINNATI BELL
- P. UTILITY PROTECTION: 811 6. PERMITS REQUIRED (ALL PERMITS LISTED TO BE PROVIDED BY PROJECT OWNER):
 - A. KYTC: ENCROACHMENT PERMIT FOR CONSTRUCTION ACCESS AND ROADSIDE GRADING
 - B. BOONE COUNTY PLANNING COMMISSION: ADVISORY REVIEW
 - C. KDOW: KPDES NOI (KYR-10) D. KDOW: STREAM CONSTRUCTION PERMIT
 - E. KDOW: WATER QUALITY CERTIFICATION
 - F. US ARMY CORPS OF ENGINEERS: 404 G. SONOCO / MID-VALLEY CRUDE OIL PILELINE EASEMENT ENCROACHMENT
 - H. DUKE ENERGY HIGH PRESSURE NATURAL GAS PIPELINE EASEMENT ENCROACHMENT
- 7. SOIL TYPES = EdD2, LIC, EdE2, No.
- 8. BENCHMARK NOTE: VERTICAL CONTROL WAS ESTABLISHED BY REAL TIME KINEMATIC GPS USING KYTC VIRTUAL REFRENCE STATION VIA CELL PHONE HORIZONTAL CONTROL = NAD 83 (NSRS 2007) KY. S.P.C.S. NORTH ZONE, VERTICAL CONTROL = NAVD 88 - U.S. FEET
- 9. EXISTING ZONING = RSE/SC, A-2

SAFETY ADVISORY NOTES:

- 1. Protection of Persons and Property: Barricade open excavations occurring as part of this work as required to maintain vehicular and PEDESTRIAN SAFETY. PROVIDE ALL NECESSARY BARRIERS, WARNING LIGHTS, SIGNAGE, FLAGMEN AND OTHER MEASURES AS REQUIRED TO MAINTAIN PUBLIC SAFETY AS DESIGNATED ON THE PLANS, DIRECTED BY THE OWNER, AND AS RECOMMENDED BY OTHER AUTHORITIES HAVING JURISDICTION.
- 2. IT SHALL BE THE FULL AND COMPLETE RESPONSIBILITY OF THE CONTRACTOR TO MEET AND COMPLY WITH SAFETY REQUIREMENTS AND REGULATIONS AS ESTABLISHED BY O.S.H.A. OR ANY OTHER REGULATORY BODY. THE OWNER AND ENGINEER WILL NOT PERFORM ANY SAFETY COMPLIANCE INSPECTIONS AS THE CONTRACTOR HAS ACCEPTED FULL AND COMPLETE RESPONSIBILITY FOR PERFORMING SUCH INSPECTIONS FOR COMPLIANCE TO THE REGULATIONS. THE CONTRACTOR SHALL INDEMNIFY AND HOLD HARMLESS THE OWNER AND THE ENGINEER FROM ANY LOSS, EXPENSE, FINE, OR SUIT, INCLUDING ATTORNEY'S FEES, ARISING OUT OF ANY SAFETY VIOLATION SUITS BROUGHT BY INJURED PERSONS AND/OR FINES LEVIED BY O.S.H.A OR ANY OTHER REGULATORY BODY, AS RESULT OF THE CONTRACTOR'S WORK.

GENERAL CONSTRUCTION NOTES:

- 1. CONSTRUCTION ACCESS WILL BE PROVIDED THROUGH THE LOCKED GATE NEAR THE DOWNSTREAM END OF THE STREAM CHANNEL, AS WELL AS THE EXISTING GRAVEL DRIVEWAY APRON ADJACENT TO THE OIL/GAS PIPELINE EASEMENTS. THE CONTRACTOR WILL BE PROVIDED WITH A CODE FOR THE LOCKED GATE AND SHALL BE RESPONSIBLE FOR SECURING THE GATE WHEN LEAVING THE SITE.
- 2. THE CONTRACTOR(S) SHALL REVIEW FIELD CONDITIONS NOTIFY NKU OF ANY DISCREPANCIES HE ENCOUNTERS BEFORE BEGINNING HIS CONSTRUCTION OPERATIONS. 3. DIMENSIONS OF EXISTING STRUCTURES AND/OR SITE RESTRICTIONS ARE APPROXIMATE. ALL NECESSARY DIMENSIONS AND ELEVATIONS OF EXISTING STRUCTURES SHALL BE VERIFIED BY THE CONTRACTOR IN THE FIELD PRIOR TO HIS CONSTRUCTION OPERATIONS.
- 4. GENERALLY, ANY MATERIALS, SHOWN TO BE REMOVED, SHALL AFTER REMOVAL, BE DISPOSED OF BY THE CONTRACTOR UNLESS OTHERWISE DIRECTED BY NKU.
- 5. It shall be the contractor's responsibility to incorporate and coordinate all applicable drawings and the appropriate specifications as a unit. ANY OMISSIONS, DELETIONS, OR CONFLICTS ARISING AS A RESULT OF FAILURE TO INCORPORATE ALL DRAWINGS AND SPECIFICATIONS WHICH APPLY SHALL BE CORRECTED BY THE CONTRACTOR AT NO ADDED COST TO THE OWNER.
- 6. ANY ADDITIONAL LANDS REQUIRED FOR STORAGE, STAGING, ACCESS, ETC. FOR CONSTRUCTION SHALL BE ACQUIRED BY THE CONTRACTOR AT NO ADDITIONAL EXPENSE TO NKU. A WRITTEN REQUEST FOR ANY ADDITIONAL LANDS SHALL BE SUBMITTED BY THE CONTRACTOR TO NKU PRIOR TO ANY CONSTRUCTION OPERATIONS.
- 7. THE CONTRACTOR SHALL COMPLY WITH APPLICABLE CONSTRUCTION AND RESTORATION REQUIREMENTS AS DESCRIBED IN THE ENCROACHMENT PERMIT, (GENERAL NOTES AND SPECIFICATIONS). SHOWN IN THE SPECIFICATIONS.
- 8. GEOTECHNICAL BORINGS HAVE NOT BEEN PERFORMED. CONTRACTOR SHALL RELY ON KNOWLEDGE OF SIMILAR LOCAL CONDITIONS TO ANTICIPATE BEDROCK OR ANY OTHER DIFFICULTIES IN CONSTRUCTION. CONTRACTOR SHALL ANTICIPATE SOFT ALLUVIAL SUBSURFACE SOILS IN THE VICINITY OF THE EXISTING CHANNEL.
- 9. RESTORATION OF ROADS, DRIVES, LAWNS, GUARDRAILS, FENCES, ETC. SHALL BE IN ACCORDANCE WITH SPECIFICATIONS. 10. CONSTRUCTION ACCESS FROM KY-338 WILL BE LIMITED AS PER KYTC ENCROACHMENT PERMIT FOR THIS PROJECT. CONTRACTOR WILL BE RESPONSIBLE FOR DAMAGE TO KYTC PAVEMENT. RESTORATION SHALL BE MADE BY THE CONTRACTOR AT HIS OWN COST, TO THE SATISFACTION OF KYTC.
- 11. ALL CONSTRUCTION AND MATERIALS SHALL CONFORM TO PROJECT SPECIFICATIONS. FOR ELEMENTS NOT ADDRESSED IN THE SPECIFICATIONS, WORK AND MATERIALS SHALL CONFORM TO THE MOST CURRENT EDITION OF KENTUCKY TRANSPORTATION CABINET'S STANDARD SPECIFICATIONS FOR ROAD AND BRIDGE CONSTRUCTION
- 12. THE CONTRACTOR SHALL PROVIDE AND PAY FOR ALL LABOR, MATERIALS, TOOLS, CONSTRUCTION EQUIPMENT, FACILITIES AND SERVICES FOR THE PROPER EXECUTION, AND COMPLETION OF THE WORK. 13. ANY PART OF THE WORK THAT IS NECESSARY OR REQUIRED TO MAKE EACH INSTALLATION SATISFACTORY AND OPERABLE FOR ITS INTENDED PURPOSE TO THE SATISFACTION OF THE OWNER AND ENGINEER, EVEN THOUGH IT IS NOT SPECIFICALLY INCLUDED IN THE DRAWINGS, SHALL BE PERFORMED AS INCIDENTAL WORK
- AS IF IT WERE SHOWN ON THE DRAWINGS. 14. ANY ITEM REQUIRED BUT NOT LISTED AS A SEPARATE PAY ITEM, SHALL BE CONSIDERED INCIDENTAL TO CONSTRUCTION AND NO SEPARATE PAYMENT WILL BE MADE. 15. EROSION AND SEDIMENTATION CONTROL MEASURES SHOWN ARE A MINIMUM. CONTRACTOR SHALL COORDINATE WITH NKU TO PROVIDE ADDITIONAL BMPS AS NECESSARY
- AND AS DIRECTED. IT IS ALSO THE CONTRACTOR'S RESPONSIBILITY TO PROPERLY MAINTAIN ALL EROSION CONTROL MEASURES DURING CONSTRUCTION. EROSION & SEDIMENT CONTROL BMPS SHALL BE INSPECTED BY THE CONTRACTOR A MINIMUM OF EVERY 7 DAYS AND AFTER EACH 0.5" RAIN EVENT AND MORE. 16. PLEASE CONTACT THE DESIGN ENGINEER BEFORE MAKING ANY DEVIATIONS GREATER THAN REASONABLE CONSTRUCTION TOLERANCES FROM THE PLANS OR
- 17. MAINTENANCE OF TRAFFIC SHALL BE ACCORDING TO THE MANUAL OF UNIFORM TRAFFIC CONTROL DEVICES AND ACCORDING TO LOCAL REQUIREMENTS AND KYTC
- 18. PROJECT PROPERTY BOUNDARY LINES HAVE BEEN SURVEYED, THOUGH ARE NOT FULLY REPRESENTED ON THESE PLANS.
- 19. NKU WILL PROVIDE INITIAL ONE—TIME CONSTRUCTION STAKING. THIS IS ANTICIPATED TO INCLUDE STAKING OF CLEARING/GRADING LIMITS, PROTECTED AREAS, TOP / TOE OF SLOPES, TEMPORARY DIVERSION CHANNEL (ALTERNATE 1), WETLAND BERM (ALTERNATE 2), FLOODPLAIN GRADING LIMITS, AND AS-BUILT VERIFICATION OF GRADING WHEN CONTRACTOR NOTIFIES NKU TO PERFORM SEEDING RESTORATION OF COMPLETED AREAS. CONTRACTOR IS RESPONSIBLE FOR PROTECTING STAKES
- AND ANY ADDITIONAL RESTAKING AS NEEDED. CONTACT NKU'S SURVEYOR (VIOX & VIOX) A MINIMUM OF 48 HOURS IN ADVANCE FOR STAKING REQUESTS. 20. THE CONTRACTOR SHALL LIMIT THEIR WORK AREA TO THE DISTURBED LIMITS SHOWN ON THESE PLANS AND STAKED BY NKU UNLESS WRITTEN PERMISSION IS GIVEN BY
- 21. THE EXISTING TOPOGRAPHY (CONTOURS) SHOWN ON THE PLANS IS A COMBINATION OF FIELD SURVEY AND GIS INFORMATION.
- 22. THE PROPOSED TOPOGRAPHY (CONTOURS) IS SHOWN ON THE PLANS WITH 2' CONTOUR INTERVAL EXCEPT FOR THE FLOODPLAIN AREA WHICH HAS ADDITIONAL 1' CONTOURS.
- 23. TYPICAL GRASS SEED RESTORATION IS NOT INCLUDED IN THE CONTRACT FOR THIS WORK. NKU WILL SEED AND STRAW ALL AREAS DISTURBED DURING THE COURSE OF CONSTRUCTION, UNLESS OTHERWISE NOTED ON THE PLANS. CONTRACTOR SHALL COORDINATE WITH NKU FOR NKU TO HAVE ACCESS TO FINISHED GRADED AREAS IMMEDIATLY AFTER COMPLETION OF GRADING SO THAT RESTORATION CAN BE COMPLETED BY NKU. THE CONTRACTOR IS ENCOURAGED TO WORK IN AND COMPLETE SMALLER AREAS, RATHER THAN HAVING THE ENTIRE SITE DISTURBED AND OPEN AT ONCE, AND TO TURN OVER COMPLETED AREAS TO NKU FOR RESTORATION AS EACH AREA IS COMPLETED.
- 24. CONRACTOR SHALL PROTECT AND AVOID DAMAGE TO THE EXISTING FACILITIES THAT ARE TO REMAIN (BARN, SILO, HISTORIC STONE WALL, GRAVEL ROAD, GATE, ETC.). 25. CONTRACTOR SHALL RESTORE DISTURBED PAVEMENT AFTER CONSTRUCTION, SHALL MINIMIZE INCONVENIENCE TO TRAFFIC DURING CONSTRUCTION, AND SHALL PROVIDE SMOOTH TRANSITIONS TO EXISTING PAVEMENT. PAVING MATERIALS AND CONSTRUCTION THEREOF SHALL BE IN ACCORDANCE WITH THE KTC STANDARD SPECIFICATIONS AND THE PROJECT SPECIFICATIONS.

BEEN PREVIOUSLY FULLY DOCUMENTED BY THE ENGINEER.

- 1. THE CONTRACTOR SHALL VERIFY THE LOCATIONS AND ELEVATIONS OF ALL EXISTING UTILITIES PRIOR TO CONSTRUCTION. THE LOCATIONS AND ELEVATIONS OF EXISTING UTILITIES, AS INDICATED ON THE DRAWINGS, ARE FOR INFORMATIONAL PURPOSES ONLY. NO RESPONSIBILITY IS ASSUMED FOR THE ACCURACY OR COMPLETENESS OF THIS INFORMATION. SERVICE LINE LOCATIONS WERE NOT PROVIDED BY THE UTILITIES AND ARE NOT SHOWN ON THE DRAWINGS. ALL UTILITIES MUST BE MARKED AND THEIR HORIZONTAL AND VERTICAL LOCATION FIELD VERIFIED BY THE CONTRACTOR PRIOR TO STARTING CONSTRUCTION. THE CONTRACTOR SHALL NOT CUT OR DISCONNECT ANY EXISTING UTILITIES WITHOUT PRIOR APPROVAL FROM THE APPROPRIATE AGENCY.
- 2. EXISTING UNDERGROUND UTILITIES AND SERVICES ARE SHOWN IN THEIR APPROXIMATE LOCATIONS ACCORDING TO KNOWN INFORMATION AVAILABLE. THE LOCATIONS SHOWN ARE INTENDED ONLY AS GUIDE AND CANNOT BE GUARANTEED TO BE ACCURATE OR COMPLETE. DURING CONSTRUCTION, THE CONTRACTOR SHALL PROTECT FROM DAMAGE ALL EXISTING UTILITIES, WHETHER SHOWN ON THE CONTRACT DRAWINGS OR NOT. IF DAMAGE IS CAUSED, THE CONTRACTOR SHALL BE RESPONSIBLE FOR THE REPAIR OR RESTORATION OF SAME TO THE SATISFACTION OF THE OWNER OR UTILITY OWNER AT THE CONTRACTOR'S SOLE EXPENSE. ELECTRIC SERVICE, GAS SERVICE, WATER SERVICE, TELEPHONE AND CABLE SERVICE, AND OTHER UTILITY LINES MAY BE LOCATED IN THE PROXIMITY OF THE WORK AREA. THE CONTRACTOR SHALL BE RESPONSIBLE FOR:
 - A. CONTACTING THE INDIVIDUAL UTILITY OWNERS TEN (10) DAYS PRIOR TO CONSTRUCTION AND ADVISING THEM OF THE WORK TO TAKE PLACE.
 - B. SOLICITING THEIR AID IN LOCATING AND PROTECTING OR RELOCATING ANY UTILITY THAT MAY INTERFERE WITH CONSTRUCTION. C. TEST PITTING AND VERIFYING THE HORIZONTAL AND VERTICAL LOCATION FOR EACH UTILITY IN THE PROJECT VICINITY BEFORE STARTING CONSTRUCTION.
 - D. ALL DAMAGE TO ANY EXISTING UTILITY, AND REPAIR THEREOF.
 - E. CONTACTING THE KENTUCKY UNDERGROUND PROTECTION INC. (811) 48 HOURS MINIMUM PRIOR TO CONSTRUCTION.
- F. CONTRACTOR SHALL PERFORM ALL WORK NECESSARY TO RESTORE ALL EXISTING UTILITIES WHETHER SHOWN OR NOT. ENCOUNTERED OR DISTURBED DURING CONSTRUCTION TO BEFORE CONSTRUCTION CONDITIONS OR BETTER, AS ACCEPTABLE TO THE UTILITY OWNER. 3. DO NOT INTERRUPT EXISTING UTILITIES EXCEPT WHEN PERMITTED IN WRITING BY NKU AND THE UTILITY OWNER.
- A. AT LEAST 48 HOURS PRIOR TO COMMENCEMENT OF ANY WORK, NOTIFY KENTUCKY UNDERGROUND PROTECTION, INC. (811) TO LOCATE EXISTING UTILITIES IN THE AREA OF WORK. IF UTILITIES ARE TO REMAIN IN SERVICE, PROVIDE ADEQUATE MEANS OF SUPPORT AND PROTECTION. B. PROVIDE A MINIMUM OF 48 HOURS NOTICE TO NKU AND THE UTILITY OWNER BEFORE INTERRUPTING ANY UTILITY.
- C. SHOULD UNCHARTED OR INCORRECTLY CHARTED UTILITIES BE ENCOUNTERED, CONSULT NKU AND UTILITY OWNER FOR DIRECTIONS. COOPERATE WITH NKU AND UTILITY OWNER IN KEEPING UTILITIES OPERATIONAL. REPAIR ANY DAMAGED UTILITIES TO THE SATISFACTION OF NKU AND UTILITY OWNER. DUKE ENERGY AND SUNOCO REQUIRE THAT A REPRESENTATIVE BE ONSITE TO OVERSEE ANY EXCAVATION IN THE VICINITY OF THEIR PIPELINES. CONTRACTOR IS TO
- COORDINATE WITH THESE UTILITY COMPANIES TO FACILITATE ANY NECESSARY INSPECTIONS. 5. FIELD NOTES SHALL BE PROVIDED TO THE ENGINEER UPON COMPLETION OF CONSTRUCTION FOR ANY MODIFICATIONS TO UNDERGROUND FACILITIES THAT HAVE NOT



PROHIBITED CONSTRUCTION ACTIVITIES.

- 1. INDISCRIMINATE OR ARBITRARY OPERATION OF EQUIPMENT IN ANY STREAM CORRIDORS, ANY SURFACE WATER, OR OUTSIDE THE MARKED DISTURBED LIMITS IS
- 2. CLEAR CUTTING. CLEARING AND GRUBBING SHALL BE PHASED TO MAINTAIN COVER UNTIL ACTUAL CONSTRUCTION HAS PROGRESSED TO THE AREA. NOTE THAT REMOVAL OF ANY TREES PROVIDING POTENTIAL BAT HABITAT MUST BE APPROVED BY NKU.
- 3. PUMPING OF SEDIMENT-LADEN WATER FROM TRENCHES OR OTHER EXCAVATION DIRECTLY INTO ANY SURFACE WATERS, ANY STREAM CORRIDORS, OR STORM SEWERS IS PROHIBITED. ALL SUCH WATER SHALL BE PROPERLY FILTERED OR SETTLED TO REMOVE SILT PRIOR TO DISCHARGING INTO ANY DRAIN OR WATERCOURSE.

GRADING & GEOTECHNICAL NOTES:

- 1. A GEOTECHNICAL REPORT FOR THIS PROJECT HAS NOT BEEN PREPARED, NOR HAS ANY GEOTECHNICAL EXPLORATION BEEN COMPLETED. THE CONTRACTOR IS RESPONSIBLE FOR ANTICIPATING LOCAL SOIL CONDITIONS BASED ON EXPERIENCE AND UNDERSTANDING OF TYPICAL SOIL CONDITIONS FOR SIMILAR SITES IN THE GENERAL VICINITY. COMPACTION OF HILLSIDE FILL IS TO BE BENCHED AND COMPACTED AND MAY BE INSPECTED AND TESTED BY A GEOTECHNICAL ENGINEER AT NKU'S COST AND DISCRETION.
- 2. THE CONTRACTOR IS RESPONSIBLE FOR TRENCH SAFETY, INCLUDING SHORING, BACKFILLING, PROTECTION OF ADJACENT STRUCTURES. UNBACKFILLED TRENCH LENGTH SHALL BE KEPT TO A MINIMUM. ALL BACKFILL MATERIAL AND ALL FILL AREAS SHALL BE COMPACTED TO A MINIMUM OF 95% OF THE MAXIMUM DRY DENSITY PER STANDARD PROCTOR ANALYSIS ASTM D 698 OR TO THE PROJECT SPECIFICATIONS, WHICHEVER IS GREATER.
- 3. NKU WILL STAKE THE CONSTRUCTION LIMITS. THE CONTRACTOR SHALL NOT ENCROACH BEYOND THE LIMITS WITHOUT PERMISSION, AND WILL BE WHOLLY RESPONSIBLE FOR RESTORATION OF GRASS AND ANY DAMAGE TO PRIVATE PROPERTY.

PROTECTION OF TREES:

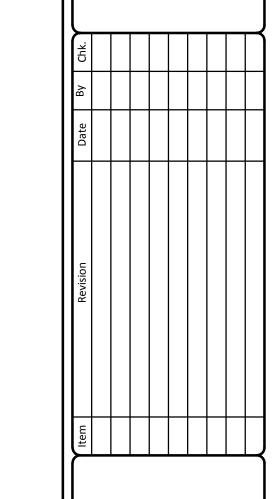
- CONTRACTOR SHALL NOT REMOVE ANY TREES 12" IN DIAMETER AND GREATER WITHOUT PRIOR AUTHORIZATION FROM NKU.
- CONTRACTOR SHALL REQUEST CONSTRUCTION STAKING OF CLEARING AND GRADING LIMIT SUFFICIENTLY IN ADVANCE OF CONSTRUCTION TO PROVIDE OWNER WITH TIME TO MARK TREES WITHIN THE CONSTRUCTION LIMITS THAT ARE TO BE SAVED.
- 3. SOME TREES MAY BE MARKED TO BE SAVED IN FILL AREAS, AND CONTRACTOR WILL BE NOTIFIED WHETHER TO FILL AROUND THE TRUNKS OR HOLD THE FILL BACK TO
- LEAVE THEM IN A LOW AREA. TREES IN CUT AREAS MAY BE SAVED AND LEFT ON A KNOB, OR CONTRACTOR MAY BE DIRECTED TO REMOVE THEM. 4. THE CONTRACTOR SHALL AVOID ANY UNNECESSARY DAMAGES TO TREES, CLEAR-CUTTING IS NOT PERMITTED WITHIN TEMPORARY CONSTRUCTION LIMIT OR EASEMENT AREAS WITHOUT THE PRIOR APPROVAL OF THE OWNER AND ENGINEER; THIS INCLUDES WORK AND STAGING AREAS OBTAINED BY THE CONTRACTOR BY MEANS OF PRIVATE AGREEMENT WITH PROPERTY OWNERS. TREE BRANCHES WHICH OVERHANG THE CONSTRUCTION LIMITS AND WHICH INTERFERE WITH THE OPERATION OF EQUIPMENT SHALL BE TIED BACK TO AVOID DAMAGE. WHERE INJURY TO BRANCHES IS UNAVOIDABLE, THE BRANCHES SHALL BE SAWED OFF NEATLY AT THE TRUNK OR MAIN BRANCH AND THE CUT AREA SHALL BE PAINTED WITH APPROVED TREE PAINT IMMEDIATELY. ANY TREES DAMAGED BEYOND SAVING SHALL BE REMOVED BY CONTRACTOR AT HIS OWN EXPENSE. IN THE CASE OF TREES LOCATED OUTSIDE THE CONSTRUCTION LIMIT AREA, RESTITUTION ACCEPTABLE TO THE PROPERTY OWNER SHALL BE PROVIDED BY THE CONTRACTOR.

ENVIRONMENTAL IMPACT PREVENTATIVE AND MITIGATIVE MEASURES:

- 1. THE CONTRACTOR SHALL GIVE SPECIAL ATTENTION TO PROTECTION OF WETLANDS, STREAMS, AND RIPARIAN AREAS, AND THE PREVENTATIVE AND MITIGATIVE MEASURES OUTLINED IN THE DRAWING AND SPECIFICATIONS. <u>FAILURE TO COMPLY WITH THESE MEASURES WILL RESULT IN PENALTIES, WHICH MAY INCLUDE STOP WORK ORDERS</u> AND WITHHOLDING OF PAYMENT. NKU WILL PERIODICALLY AND ROUTINELY VERIFY THAT THE APPROPRIATE MEASURES ARE BEING FOLLOWED. AREAS OF CONSTRUCTION IN AND AROUND STREAM BANKS AND OTHER AREAS SUBJECT TO EROSION ARE A PARTICULAR CONCERN. CONTRACTOR IS ADVISED TO USE SPECIAL CARE WITHIN SUCH AREAS TO PROTECT NATURAL FEATURES AND TO PROVIDE CAREFUL RESTORATION.
- 2. CLEARING AND GRUBBING SHALL NOT COMMENCE UNTIL THE CONTRACTOR IS PREPARED TO START CONSTRUCTION, AND EROSION CONTROL MEASURES ARE INSTALLED and in place. Contractor shall be responsible to dispose of all debris in a legal and environmentally sound manner. Open burning will not be PERMITTED. OWNER WILL PROVIDE AN ONSITE LOCATION(S) FOR STOCKPILING STUMPS, BRUSH, TREES, AND WOODY DEBRIS.
- 3. CLEAR CUTTING WILL NOT BE PERMITTED. CLEARING AND GRUBBING SHALL BE PHASED TO MAINTAIN COVER UNTIL ACTUAL CONSTRUCTION HAS PROGRESSED TO THE 4. THE CONTRACTOR SHALL BE RESPONSIBLE FOR PROVIDING THE NECESSARY MATERIAL AND LABOR TO FOLLOW THE IMPACT PREVENTION AND MITIGATION MEASURES
- OUTLINED IN THE DRAWINGS AND SPECIFICATIONS. MEASURES OUTLINED ARE GUIDELINES: ANY ALTERNATES PROPOSED BY THE CONTRACTOR SHALL BE SUBMITTED TO THE ENGINEER FOR APPROVAL AT LEAST TWO (2) WEEKS PRIOR TO CONSTRUCTION IN ANY AFFECTED AREA. THE COST OF ALL SUCH MATERIAL AND LABOR SHALL BE INCLUDED IN THE PRICE BID FOR THE VARIOUS CONTRACT ITEMS.

EROSION PREVENTION AND SEDIMENT CONTROL NOTES:

- 1. NKU WILL PROVIDE AND MAINTAIN EROSION PROTECTION USING SILT FENCING, OR EQUAL MEANS OF PROTECTION ALONG THE DRAINAGE CHANNEL, AT THE BOTTOM OF CLEARED SLOPED AREAS THAT ARE NOT TO BE IMMEDIATLY SEEDED AND STRAWED AFTER TOPSOIL RESPREADING, AROUND SOIL STOCKPILE MOUND, ANY OTHER EROSION PRONE AREAS, AND WHERE SHOWN ON THE DRAWINGS AS DIRECTED BY THE OWNER OR ENGINEER. THIS REQUIREMENT PERTAINS ALSO TO HAUL AND ACCESS ROADS. NOTE DETAILS FOR EROSION PROTECTION ELSEWHERE WITHIN THE DRAWINGS AND SPECIFICATIONS. EROSION CONTROL MEASURES SHALL BE INSTALLED PRIOR TO INITIAL LAND DISTURBANCE ACTIVITIES OR AS SOON AS PRACTICAL.
- 2. SILT FENCES SHALL BE REPAIRED TO THEIR ORIGINAL CONDITION IF DAMAGED. SEDIMENT ACCUMULATION MUST BE REMOVED WHEN SEDIMENT HEIGHT REACHES 🕽 THE HEIGHT OF THE SILT FENCE. 3. MINIMIZE OFF-SITE SEDIMENT TRACKING OF VEHICLES BY THE USE OF GRANULAR MATERIAL IN ALL CONSTRUCTION ENTRANCES, ALONG WITH REGULARLY SCHEDULED
- SWEEPING/GOOD HOUSEKEEPING. STABILIZED CONSTRUCTION ENTRANCES TO BE PROPERLY MAINTAINED AND IN GOOD WORKING ORDER AT ALL TIMES. 4. DUST CONTROLS USING APPROVED MATERIALS MUST BE PERFORMED AT ALL TIMES. THE USE OF MOTOR OILS AND OTHER PETROLEUM BASED OR TOXIC LIQUIDS FOR DUST SUPPRESSION IS PROHIBITED.
- 5. ALL MATERIALS SPILLED, DROPPED, WASHED, OR TRACKED ONTO THE ROADWAYS MUST BE REMOVED IMMEDIATELY. 6. THE CONTRACTOR SHALL CONTROL WASTES, GARBAGE, DEBRIS, WASTEWATER, AND OTHER SUBSTANCES ON THE SITE IN SUCH A WAY THAT THEY SHALL NOT BE TRANSPORTED FROM THE SITE BY THE ACTION OF WINDS, STORM WATER RUNOFF, OR OTHER FORCES. PROPER DISPOSAL OR MANAGEMENT OF ALL WASTES AND UNUSED BUILDING MATERIAL, APPROPRIATE TO THE NATURE OF THE WASTE OR MATERIAL, IS REQUIRED. COMPLIANCE IS REQUIRED WITH ALL STATE OR LOCAL
- REGULATIONS REGARDING WASTE DISPOSAL, SANITARY SEWER, OR SEPTIC SYSTEMS. 7. REMOVE ONLY THOSE TREES REQUIRED FOR ACTUAL CONSTRUCTION. WHEN CONSTRUCTION IS ADJACENT TO STREAMS, MAINTAIN BANK COVER BY DEPOSITING EXCAVATED MATERIALS ON THE SIDE OF THE TRENCH AWAY FROM THE STREAM. MAINTAIN A VEGETATION COVER BETWEEN TRENCH AND TOP OF BANK WHEREVER
- 8. SLOPES SHALL BE LEFT IN A ROUGHENED CONDITION DURING GRADING TO REDUCE RUNOFF VELOCITIES AND EROSION.
- 9. IMMEDIATELY FOLLOWING CONSTRUCTION AND GRADING, COORDINATE WITH NKU TO STABILIZE EACH DISTURBED AREA WITH PERENNIAL VEGETATION. IF FINAL GRADING AND SEEDING WILL NOT OCCUR WITHIN 14 DAYS OF AN AREA BEING ROUGH GRADED, DISTURBED AREA SHALL BE TEMPORARILY SEEDED AND/OR MULCHED WITH A MIX APPROVED BY NKU, AT THE CONTRACTOR'S EXPENSE.
- 10. IMMEDIATELY UPON COMPLETION OF THE GRADING OF THE TEMPORARY CHANNEL, CONTRACTOR SHALL CONTACT AND COORDINATE WITH NKU FOR NKU TO PERFORM SEEDING AND EROSION CONTROL BLANKET INSTALLATION. THEREAFTER, CONTRACTOR SHALL AVOID/MINIMIZE DISTURBANCE OF THE CHANNEL BANK VEGETATION. 11. FINAL GRADING SHALL BE CONSISTENT WITH PLAN TOPOGRAPHY. FINAL GRADING SHALL BE COMPLETED IN ANY GIVEN AREA AS SOON AS IT IS NO LONGER NEEDED
- FOR TRAFFICKING OF EQUIPMENT AND MATERIALS. FINAL RESTORATION SHALL BE COORDINATED WITH NKU TO IMMEDIATELY FOLLOW FINAL GRADING. 12. WHEN WORKING ADJACENT TO A WATERWAY, THE CONTRACTOR SHALL MAINTAIN A BUFFER ZONE OF UNDISTURBED VEGETATION BETWEEN THE WORK AREA AND THE WATERWAY. WHERE SHOWN ON THE DRAWINGS AND AT OTHER LOCATIONS WHERE A BUFFER ZONE OF VEGETATION CANNOT PREVENT SILTATION OF THE WATERWAY, SILT BARRIERS SHALL BE INSTALLED BY NKU TO PREVENT SEDIMENT-LADEN RUNOFF FROM ENTERING THE WATERWAY.
- 13. ALL TEMPORARY EROSION AND SEDIMENT CONTROL PRACTICES SHALL BE REMOVED AND DISPOSED OF BY NKU WITHIN THIRTY DAYS AFTER VEGETATION IS ESTABLISHED AND FINAL SITE STABILIZATION IS ACHIEVED OR AFTER THE TEMPORARY PRACTICES ARE NO LONGER NEEDED. TRAPPED SEDIMENT SHALL BE PERMANENTLY STABILIZED TO PREVENT FURTHER EROSION. 14. IF WORK IS SUSPENDED FOR ANY REASON, THE CONTRACTOR SHALL MAINTAIN THE EROSION AND SEDIMENTATION CONTROLS DURING THE SUSPENSION AT NO
- 15. EROSION AND SEDIMENT CONTROL MEASURES SHOWN ON THE DRAWINGS ARE A MINIMUM. CONTRACTOR SHALL COORDINATE WITH NKU TO PROVIDE ALL ADDITIONAL EROSION AND SEDIMENT CONTROL MEASURES AS NECESSARY TO COMPLY WITH THE STORM WATER POLLUTION PREVENTION PLAN (SWPPP) AND STORM WATER GENERAL
- PERMIT REQUIREMENTS 16. CONTRACTOR TO NOTIFY NKU AT LEAST 72 HOURS BEFORE COMMENCING WORK AT THE SITE.





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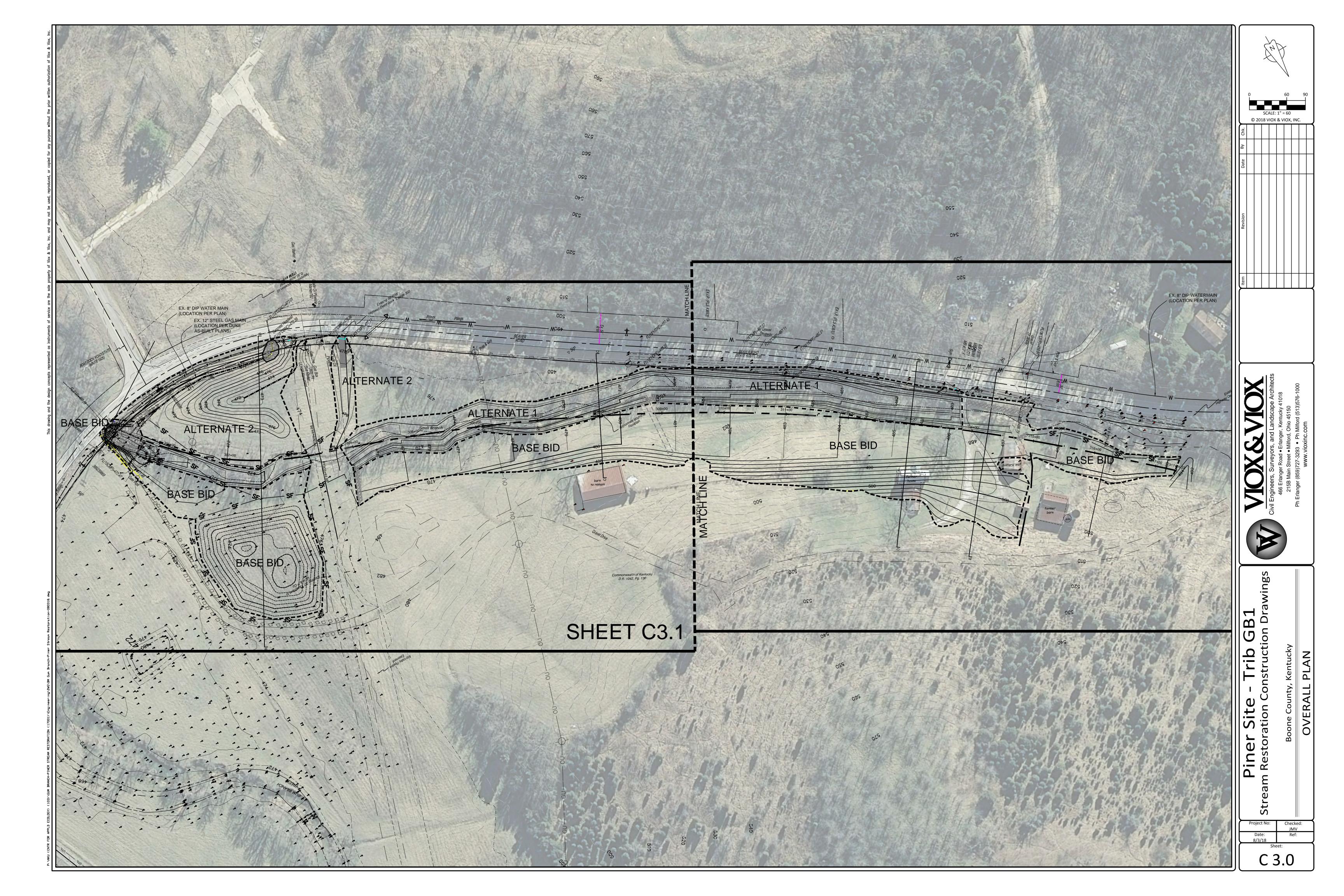
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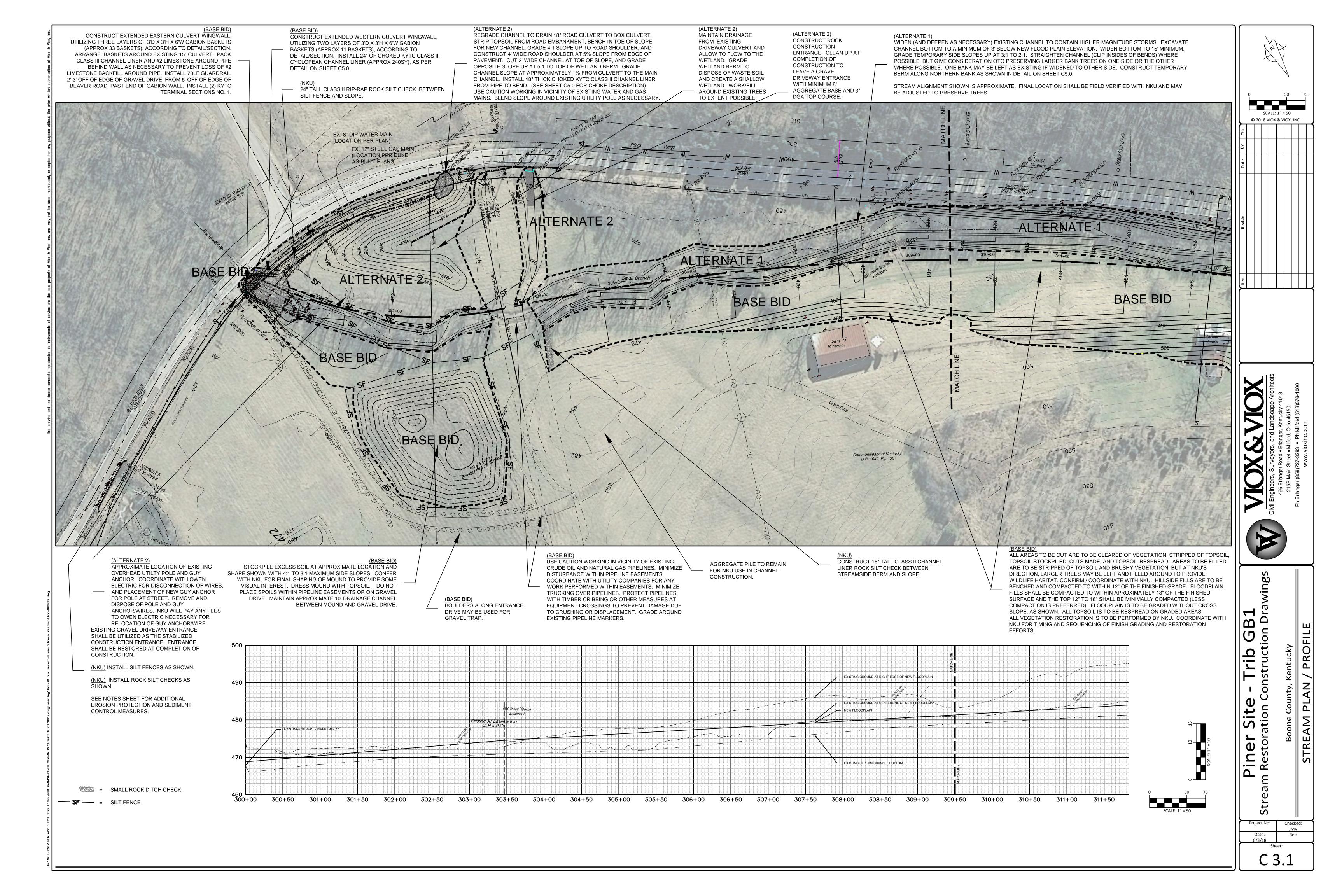
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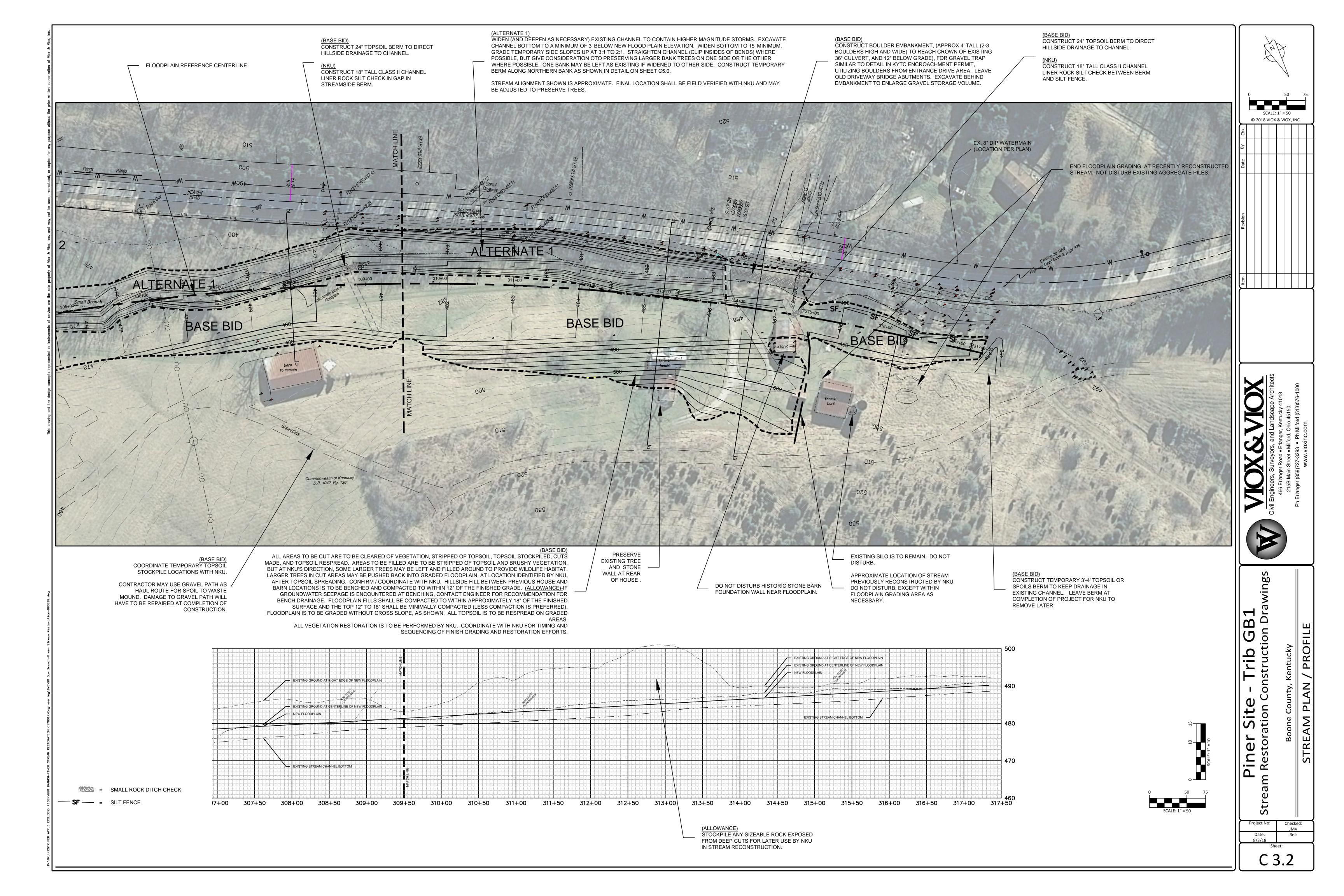
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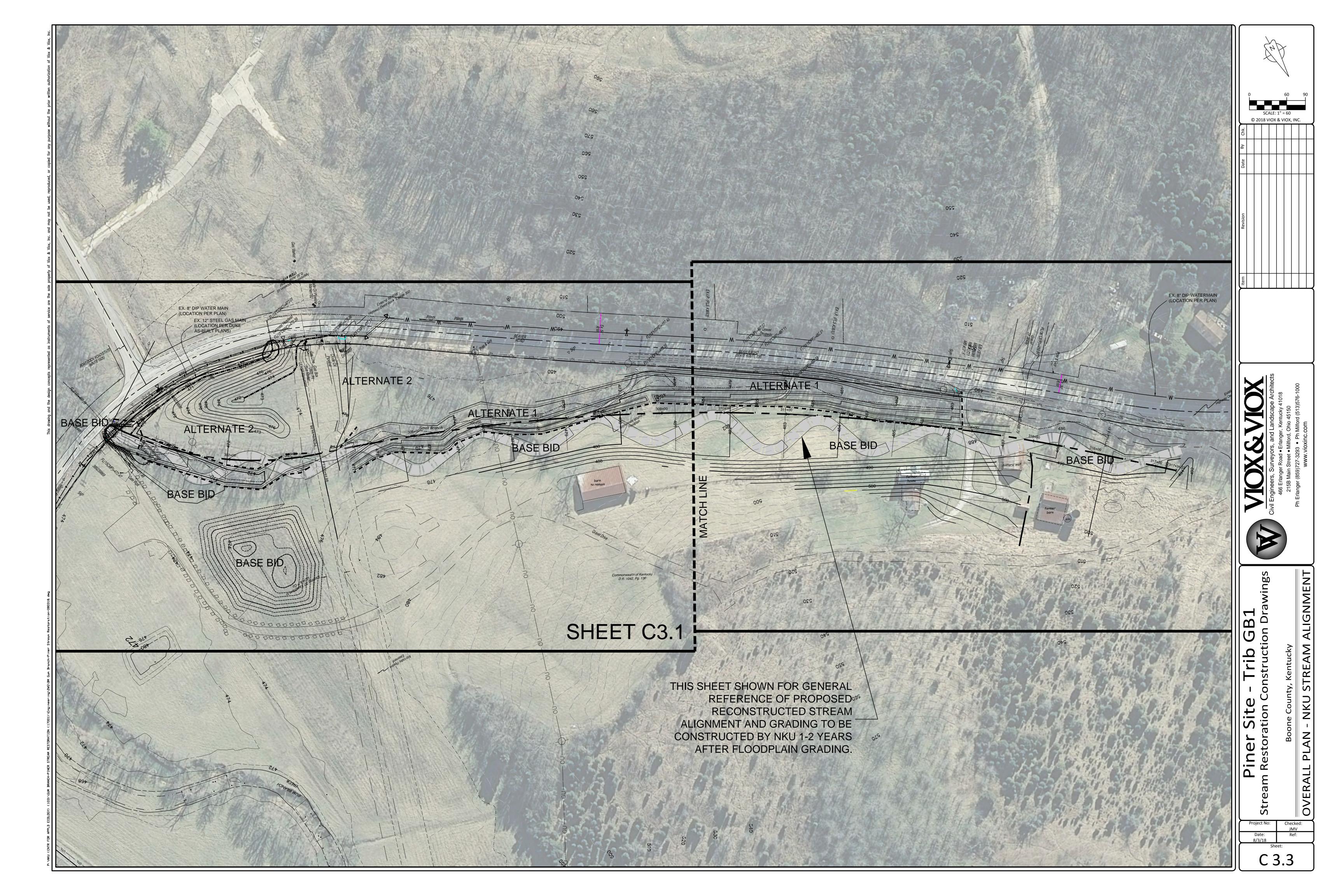
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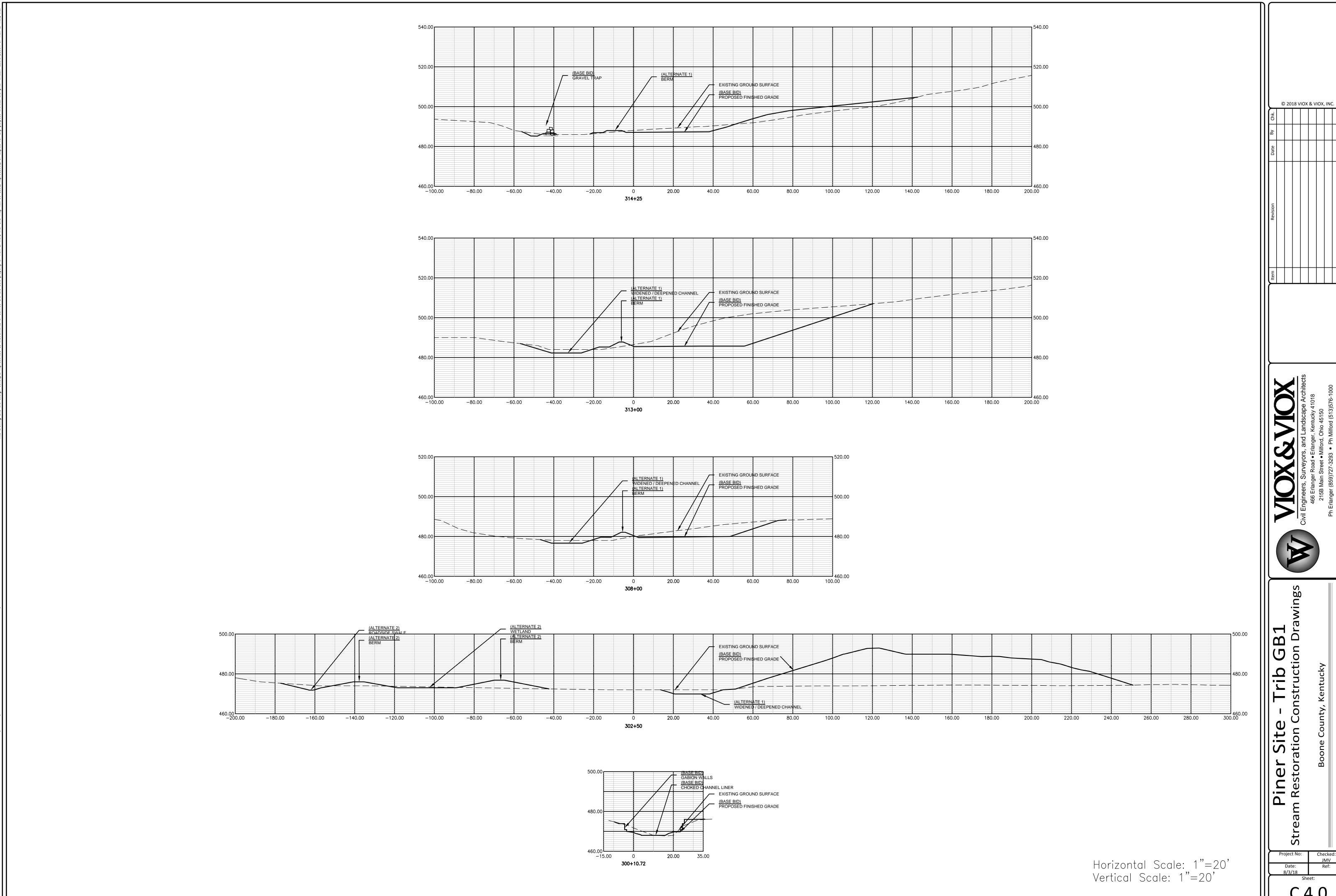
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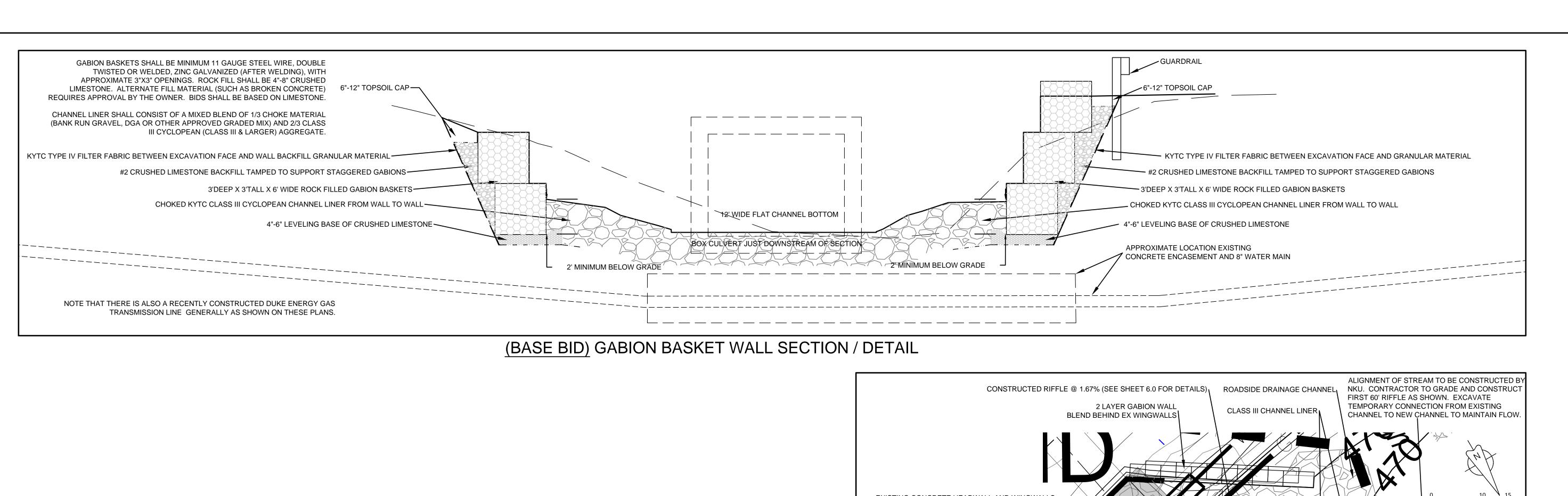


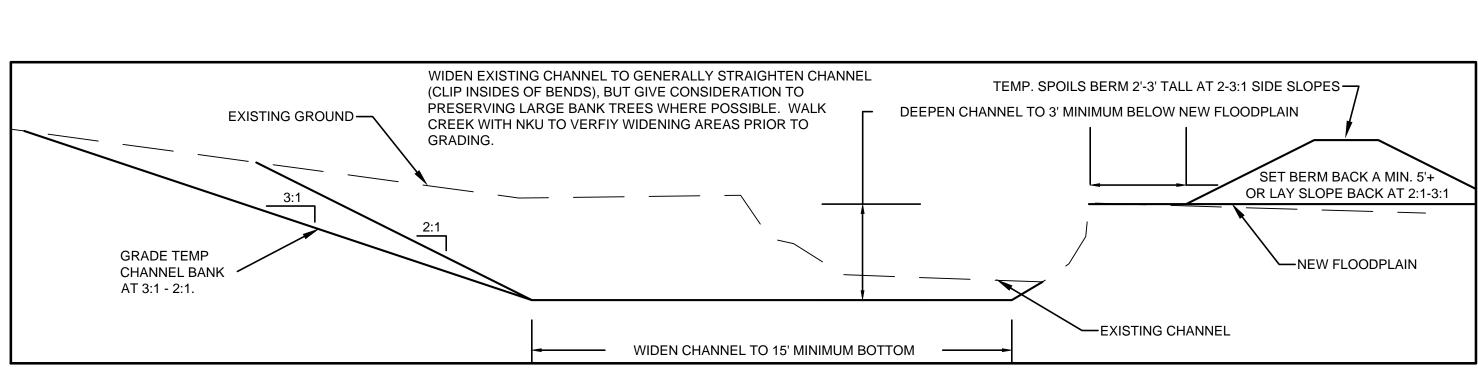




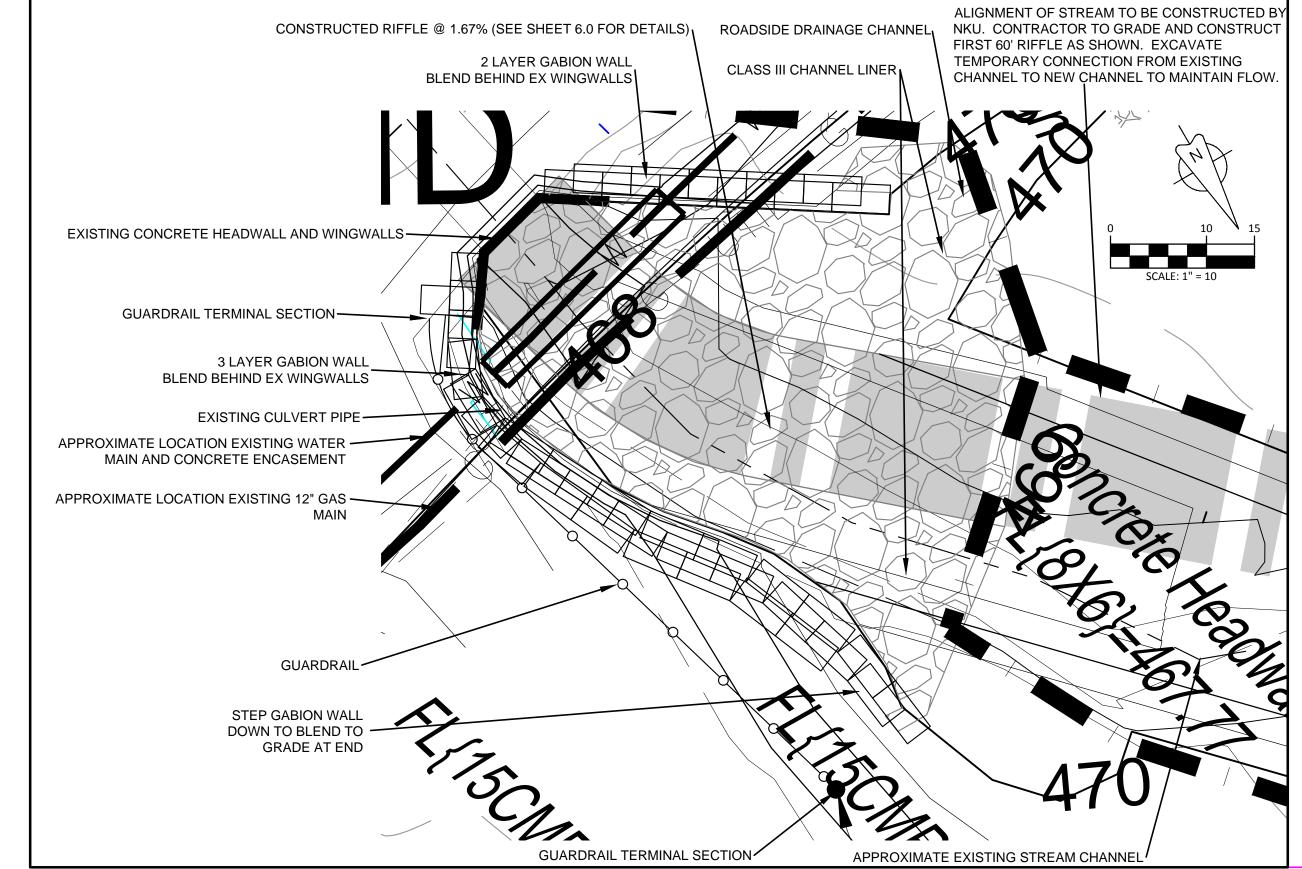




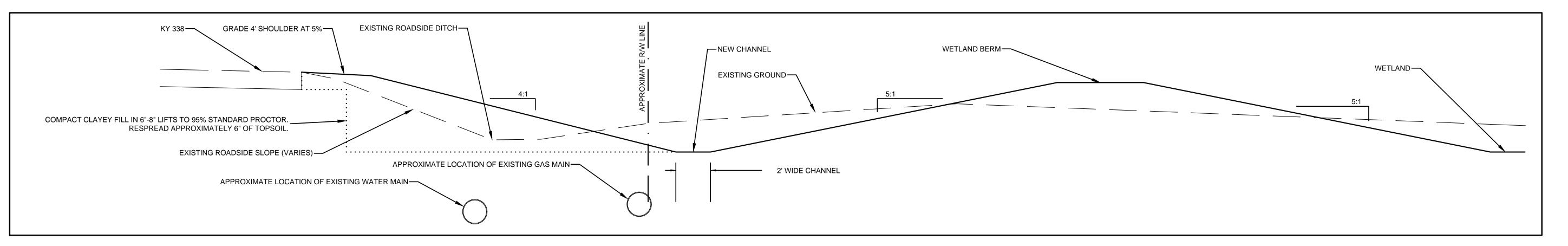




(ALTERNATE 1) TEMPORARY CHANNEL WIDENING SECTION / DETAIL



(BASE BID) BOX CULVERT ENTRANCE



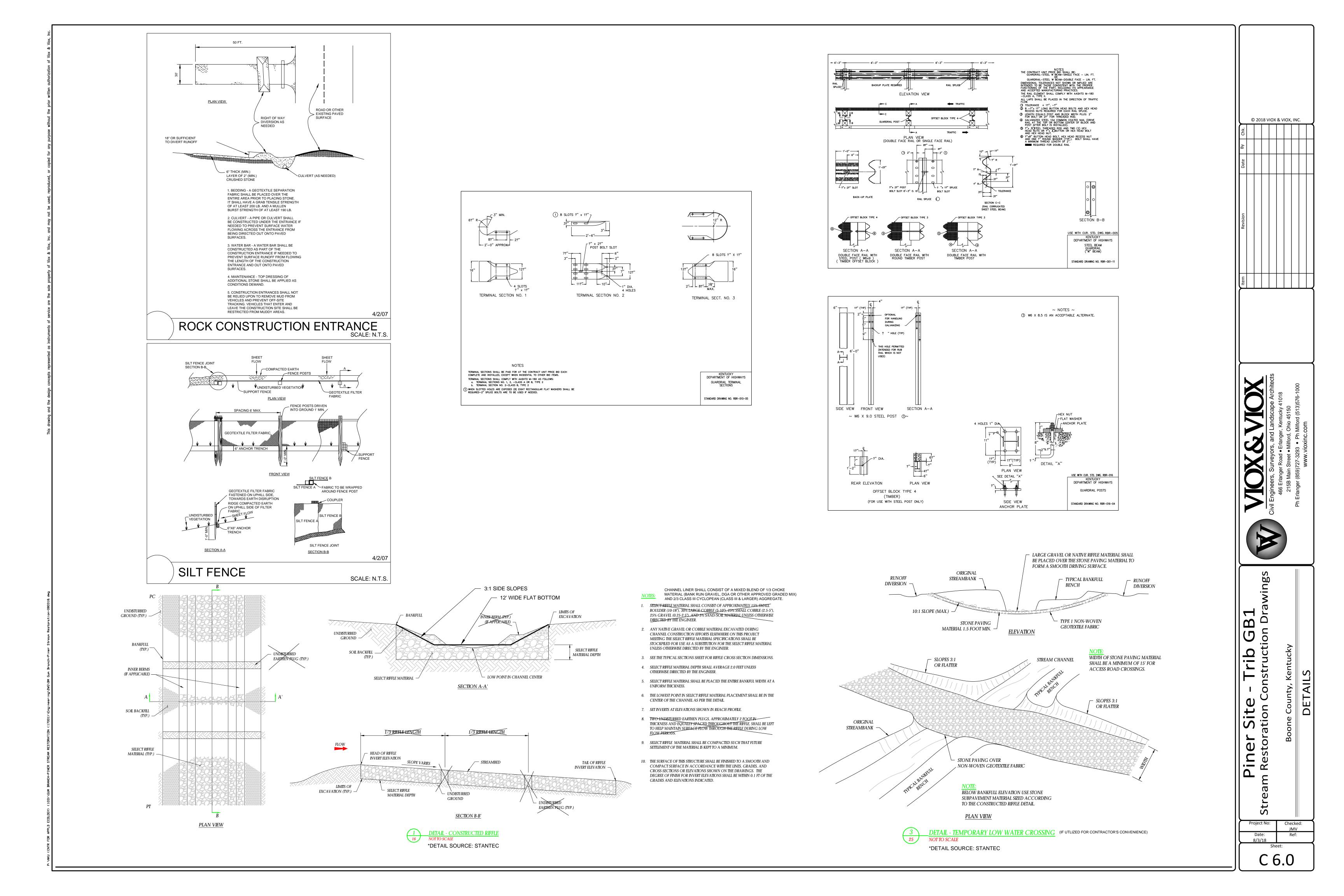
(ALTERNATE 2) ROADSIDE DITCH SECTION / DETAIL

Piner Site - Trib G

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Date: Ref
Sheet:

C 5.0



This is not a permit unless and until the permittee(s) receives an approved TC 99-1(B) from KYTC. This application will become void If not approved by the cancellation date. The cancellation date will be one year from the date the permittee submits their

Kentucky Transportation Cabinet

Department of Highways

Permits Branch

APPLICATION FOR ENCROACHMENT PERMIT

THE UNDERSIGNED PERMITTEE(s) (being duly authorized representative(s) or owner(s)) DO AGREE TO ALL TERMS AND CONDITIONS ON THE

7.23.2016

TC 99-1 (A)

Page 2 of 4

Kentucky Transportation Cabinet Department of Highways Permits Branch

APPLICATION FOR ENCROACHMENT PERMIT

TERMS AND CONDITIONS 1. The permit, including this application and all related and accompanying documents and drawings making up the permit, remains in effect and is binding upon the Applicant/Permittee, its successors and assigns, as long as the encroachment(s) exists and also until the permittee is finally relieved by the Department of Highways from all its

Z. Applicant shall meet all requirements of the Clean Water Act if the project will disturb one acre or more, the applicant shall obtain a KPDES KYR10 Permit from the Kentucky Division of Water. All disturbed areas shall meet the requirements of the Department of Highway's Standard Specifications, Sections 212 and 213, as amended.

A. PERFORMANCE BOND: The permittee shall provide to the Department a performance bond according to the Permits Manual, Section PE-203 as a guarantee of conformance with the epartment's Encroachment Permit requirements.

TC 99-1 (A)

Page 3 of 4

8/2012

B. PAYMENT BOND: At the discretion of the department, a payment bond will be required of the permittee to ensure payment of liquidated damages assessed to the permittee. C. LIABILITY INSURANCE: Liability insurance will be required of the permittee (in an amount

approved by the department) to cover all liabilities associated with the encroachment. D. It shall be the responsibility of the permittee, its successors and assigns, to maintain all indemnities in full force and effect until the permittee is authorized to release the indemnity by the Department.

4. A copy of this application and all related documents making up the approved permit will be given to the applicant and shall be made readily available for review at the work site at all times. 5. Perpetual maintenance of the encroachment is the responsibility of the permittee, its successors and assigns,

with the approval of the Department as required, unless otherwise stated. 6. Permittee, its successors and assigns, shall comply with and agrees to be bound by the requirements and terms of (a) this application and all related documents making up the approved permit, (b) by the Department's Permits Manual, and (c) by the Manual on Uniform Traffic Control Devices, both manuals as revised to and in effect on the date of issuance of the permit, all of which documents are made a part thereof by this reference. Compliance by

7. Permittee agrees that this and any encroachment may be ordered removed by the Department at any time, and for any reason, upon thirty days written notice to the last known address of the applicant or to the address at the location of the encroachment. The permittee agrees that the cost of removing and of restoring the associated right-of-way is the responsibility of the permittee, its successors and assigns.

the permittee, its successors and assigns, with subsequent revisions to applicable provisions of either manual or

other policy of the Department may be made a condition of allowing the encroachment to persist under the

8. Permittee, its successors and assigns, agree that if the Department determines that motor vehicular safety deficiencies develop as a result of the installation or use of the encroachment, the permittee, its successors and assigns, shall provide and bear the expenses to adjust, relocate, or reconstruct the facilities, and/or add signs, auxiliary lanes, or other corrective measures reasonably deemed necessary by the Department within a reasonable time after receipt of a written notice of such deficiency. The period within which such adjustments, relocations, additions, modifications, and/or other corrective measures must be completed will be specified in the notice.

Kentucky Transportation Cabinet

Department of Highways Permits Branch

APPLICATION FOR ENCROACHMENT PERMIT

9. Where traffic signals are required as a condition of granting the requested permit or are thereafter required to correct motor vehicular safety deficiencies, as determined by the Department, the costs for signal equipment and installation(s) shall be borne by the permittee, its successors and assigns, and/or the Department in its reasonable discretion and only in accordance with the Department's current policy set forth in the Traffic Operations Manual and Permits Manual. Any modifications to the permittee's entrance necessary to accommodate signalization (including necessary easement(s) on private property) shall be the responsibility of the permittee, its successors and assigns, at no expense to the Department.

10. The requested encroachment shall not infringe on the frontage rights of an abutting owner without their written consent as hereinafter described. Each abutting owner shall express their consent, which shall be binding on their successors and assigns, by the submission of a notarized statement as follows. "I , hereby consent to the granting of the permit requested by the applicant along Route ______, which permit does affect frontage rights along my (our) adjacent real property." By signature(s)

____, subscribed and sworn by ____ on this date_ 11. The permit, if approved, is subject to the agreement that it shall not interfere with any similar rights or permit(s) previously granted to any other party, except as otherwise provided by law.

12. Permittee shall include documentation which describes the facilities to be constructed. Permittee, its successors and assigns, agrees as a condition of the granting of the permit to construct and maintain any and all permitted facilities or other encroachments in strict accordance with the submitted and approved permit documentation and the policies and procedures of the Department. Permittee, its successors and assigns, shall not use facilities authorized herein in any manner contrary to that prescribed by the approved permit. Only normal usage as contemplated by the parties and by this application and routine maintenance are authorized by the

13. Permittee, its successors and assigns, at all times from the date permitted work is commenced until such time as all permitted facilities or other encroachments are removed from the right-of-way and the right-of-way restored, shall defend, protect, indemnify and save harmless the Department from any and all liability claims and demands arising out of the work, encroachment, maintenance, or other undertaking by the permittee, its successors and assigns, related or undertaken pursuant to the granted permit, due to any claimed act or omission by the permittee, its servants, agents, employees, or contractors. This provision shall not inure to the benefit of any third party nor operate to enlarge any liability of the Department beyond that existing at common law or otherwise if this right to indemnity did not exist.

14. Upon a violation of any provision of the permit, or otherwise in its reasonable discretion, the Department may

require additional action by the permittee, its successors and assigns, up to and including the removal of the encroachment and restoration of the right-of-way. In the event additional actions required by the Department under the permit are not undertaken as ordered and within a reasonable time, the Department may in its discretion cause those or other additional corrective actions to be undertaken and the Department may and shall recover the reasonable costs of those corrective actions from the permittee, its successors and assigns. 15. Permittee, its successors and assigns, shall use the encroachment premises in compliance with all requirements of federal law and regulation, including those imposed pursuant to Title VI of the Civil Right Act of 1964 (42 U.S.C. 5 2000d et seq.) and the related regulations of the U.S. Department of Transportation in Title 49 C.F.R. Part 21, all as

amended,

TC 99-1 (A)

Kentucky Transportation Cabinet Department of Highways Permits Branch

TC 99-1 (A) Page 5 of 4

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APPLICATION FOR ENCROACHMENT PERMIT

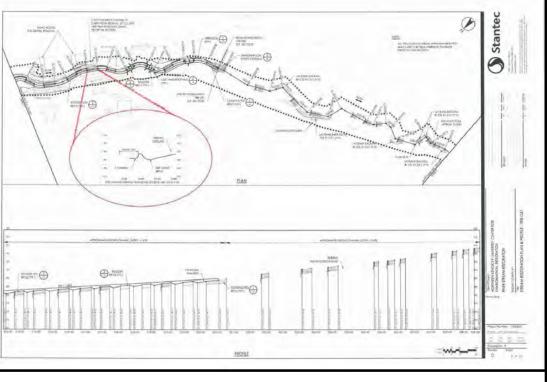
16. Permittee, its successors and assigns, agree that if the Department determines it is necessary for the facilities or other encroachment authorized by the permit to be removed, relocated or reconstructed in connection with the reconstruction, relocation or improvement of a highway, the Department may revoke permission for the encroachment to remain under the permit and may order its removal, relocation or reconstruction by the permittee, its successors and assigns, at the expense of the permittee, except where the Department is required by law to pay any or all of those costs. 17. Permittee agrees that the authorized permit is personal to the permittee and shall remain in effect until such

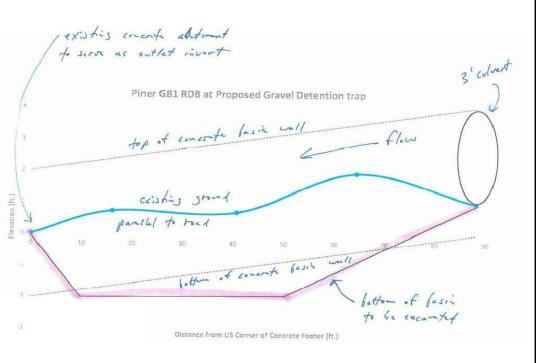
time as (a) the permittee's rights to the adjoining real property to have benefitted from the requested encroachment have been relinquished, (b) until all permit obligations have been assumed by appropriate successors and assigns, and (c) unless and until a written release from permit obligations has been granted by the Department. The permit and its requirements shall also bind the real property to have benefitted from the requested encroachment to the extent permitted by law. The permit and the related encroachment become the responsibility of the successors and assigns of the permittee and the successors and assigns of each property owner benefitting from the encroachment, or the encroachment may not otherwise permissibly continue to be maintained on the right-of-way. (Does not apply to utility encroachments serving the general public.) 18. If work authorized by the permit is within a highway construction project in the construction phase, it shall be

the responsibility of the permittee to make personal contact with the Department's Engineer on the project in order to coordinate all permitted work with the Department's prime contractor on the project. 19. This permit is not intended to, nor shall it, affect, after or alleviate any requirement imposed upon the

permittee, its successors and assigns, by any other agency. 20. Permittee, its successors and assigns, agrees to contain and maintain all dirt, mud, and other debris emanating from the encroachment away from the surrounding right-of-way and the travel way of the highway hereafter and at all times that its obligations under the permit remain in effect.

Property Boundary (229







JAN 03 2017 Sunoco Pipeline L.P. Eastern Area Headquarters Sinking Spring, PA 19608 December 22, 2016 Scott Fennell Center for Environmental Restoration Northern Kentucky University 15 Clearview Dr Highland Heights, KY 41076 RE: Mid Valley Pipeline Company Encroachment Agreement Dear Mr. Fennell Please find enclosed Mid Valley Pipeline Company's Encroachment Agreement for your Piner Stream Restoration project. If you should have any questions or comments, please feel free to contact me at 610-670-Lead Right of Way Specialist

Pipeline ID- 27001 / Mayersville to Hebron, R/W File No.-KY-2996

ENCROACHMENT AGREEMENT THIS ENCROACHMENT AGREEMENT (the "Agreement"), is made this 4 day of December , 2016, by and between Mid Valley Pipeline Company, an Ohio corporation ("Mid Valley") whose mailing address is 525 Fritztown Road, Sinking Spring, P A 19608 Attention, Manager, Right of Way, and Northern Kentucky University, Center for Environmental Restoration, ("Grantee"), whose mailing address is 15 Clearview Dr. Highland Heights, Kentucky 41076.

WITNESSETH.

WHEREAS, pursuant to the terms of a certain right-of-way agreement dated June 1, 1949, executed and delivered by M. C. Carroll and Mildred Carroll, his wife, to Mid-Valley Pipeline Company and recorded in the Office for the Recording of Deeds in Boone County, Book No. 92, Page 262, l'Right of Way Agreement") said company laid a pipeline over, upon, under and across said lands located in the Township of Hamilton, County of Boone, State of Kentucky; and

WHEREAS, Grantee desires to construct a stream restoration project which includes a mower passage, impervious plug and the use of native soils over the Mid Valley pipeline and its corresponding right of way, hereinafter as shown on drawings prepared by Stantee entitled Piner Site: Stream Restoration Construction Drawings, dated May, 2015 with later revision September, 2016 consisting of Sheets 1 through 7 of 25 made part hereinafter as Exhibit "A" and attached hereto referred to as "Improvements", which will (or portions of which will) encroach over, across or within Mid Valley's right of way and easement area as described above.

NOW THEREFORE, in consideration of the mutual promises, covenants and other good and valuable consideration, the receipt and sufficiency of which are hereby mutually acknowledged, it is agreed by and between the parties hereto as follows:

- Except as hereinafter provided, Grantee shall not construct not permit others to construct any buildings, engineering works or other improvements, nor change the grade, within Mid Valley's right of way and easement, an area measuring 25 feet on each side of the centerline of Mid
- 2. Mid Valley hereby consents and agrees, insofar as it has the lawful right to do so, to the construction of said Improvements as limited and described above and in accordance with Mid Valley's Engineering and Construction Guidelines, Revision 3 dated 10/2/2015 incorporated herein by reference. Any deviation, change, or revision to the proposed Improvements is strictly prohibited without the express written consent and approval of Mid Valley.
- Grantee shall restore all areas disturbed during installation and maintenance of the Improvements in accordance with state and local laws and to prevent the erosion of Mid Valley's right of way.
- If in Mid Valley's opinion, it deems it necessary to remove any portion of said Improvements to gain access to its pipeline for repair, maintenance, or for any other purpose whatsoever, or in the exercising any rights granted to it by the above-described Right of Way Agreement, Mid Valley may, at its sole cost and expense and without notice first being given to Grantee, remove all or any portion of said improvements; and after Mid Valley has completed the work for which said Improvements were removed. Grantee, ngrees to replace same at its sole cost and expense. Mid-

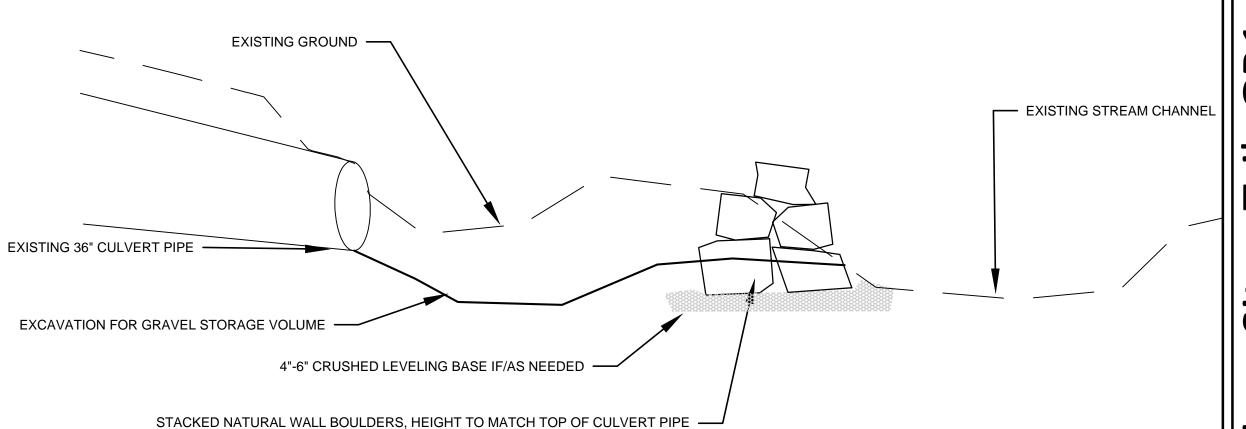
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Valley shall not be responsible or liable to Grantee for any damage caused to said Improvements in the performance of the work above-described.

- Grantee, its agents, contractors, successor and assigns shall give Mid Valley seventy-two (72) hours notice prior to any proposed excavation, grading or construction over or near Mid Valley's pipeline and right of way in accordance with state law by contacting the National Call Before You Dig Hotline at 811. A Mid Valley representative must be present during any of the aforementioned construction activities. The presence of Mid Valley's representative will not relieve Grantee of any liability under this Agreement.
- It is understood and agreed that any rights acquired under the above-described Right of Way Agreement shall remain in full force and effect.
- Grantee agrees to immediately contact Grantor's offices in the event of any incident involving, or potentially involving, the pipeline
- 8. This Agreement is subject to the existing easement rights of Grantor, and the permission herein granted by Grantor is limited to its interest and authority in the subject land and Grantee acknowledges the possible obligation to obtain the required permission from other parties of interest or local, state or federal agencies.
- Wherever Grantee will cross the Mid Valley Pipeline and/or easement with heavy equipment, Grantee will place matting or other suitable materials over the Mid Valley pipeline/right of way as determined by Mid Valley's representative in the field. No materials or heavy equipment will be stored on the right of way without Mid Valley's express written consent.
- Excavated material will not be placed over the Mid Valley pipeline except fill material necessary to provide at least four (4) feet of cover about the pipeline if there is presently insufficient cover over the pipeline. Grantee agrees to clean up and repair all damages to the right of way resulting from the work on or across the right of way in a manner which is reasonably acceptable to Mid
- 11. This Agreement may not be modified or amended except on or after the date hereof by a writing signed by the other party against whom such modification or amendment is to be enforced and no party shall be liable or bound to any other party in any manner except as specifically set forth
- 12. This Agreement, together with any amendments previously entered into between the parties relating to the Right of Way Agreement, along with the Right of Way Agreement, constitutes the entire understanding between the parties relating to the subject matter hereof and supersedes and revokes any prior understandings or agreements on such matters.
- 13. The terms, conditions and provisions of this Agreement shall extend to and be binding upon the heirs, executors and administrators, personal representative, successors and assigns of the parties

[Signatures on the following page]

DETAIL FOR GRAVEL TRAP NOTE: PERMIT NOTATION REFERENCES JERSEY WALL BARRIER SEGMENTS USED FOR TRAP BERM. CONTRACTOR TO UTILIZE STONE BOULDERS TO CONSTRUCT BERM. CONSTRUCT BOULDER EMBANKMENT, APPROX 4' TALL (2-3 BOULDERS HIGH AND WIDE), TO REACH CROWN OF EXISTING 36" CULVERT, AND 12" BELOW GRADE), FOR GRAVEL TRAP SIMILAR TO DETAIL IN KYTC ENCROACHMENT PERMIT. BOULDERS FROM ENTRANCE DRIVE AREA MAY BE UTILIZED, OR CONTRACTOR MAY CHOOSE TO IMPORT MORE REGULAR BOULDERS FOR EASE OF CONSTRUCTION. LEAVE OLD DRIVEWAY BRIDGE ABUTMENTS. EXCAVATE BEHIND EMBANKMENT TO ENLARGE GRAVEL STORAGE VOLUME.



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